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**UNITED STATES**
**SECURITIES AND EXCHANGE COMMISSION**
**WASHINGTON, D.C. 20549**
**AMENDED FORM 19b-4(e)**

13005379

Information Required of a Self-Regulatory Organization Listing and Trading
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

| Part I | Initial Listing Report |
| --- | --- |

**1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:**

EDGX Exchange, Inc.

**2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):**

Brookfield Investment Management Inc.

**3. Class of New Derivative Securities Product:**

Investment Company Unit

**4. Name of Underlying Instrument:**

BOI: Mortgage-Related Instruments

**5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:**

The 1 index referred to in item 4 above is N/A

**6. Ticker Symbol(s) of New Derivative Securities Product:**

BOI

**7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**

BOI: AMEX, ARCA, NASDAQ, NYSE

*(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)*

**8. Settlement Methodology of New Derivative Securities Product:**

T+3, Physical Settlement

**9. Position Limits of New Derivative Securities Product (if applicable):**

Not Applicable

| Part II | Execution |
| --- | --- |

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

| Name of Official Responsible for Form: | Jeffrey S. Rosenstrock |
| --- | --- |
| Title: | General Counsel |
| Telephone Number: | 201-912-9200 |
| Manual Signature of Official Responsible for Form: | |
| Date: | March 26, 2013 |

Availability: MAR 29 2013