<u>)91-15507</u> RECEIVED 2013 MAR 29 PM 3: 22 For Internal Use Only Submit 1 Original OMB APPROVAL Sec File No. 9and 4 copies OMB Number: 3235-0504 Expires July 31, 2004 Estimated average burden NEC / TH hours per response. . 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading 13005378 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Eaton Vance Management 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: **ETX: Municipal Obligations** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 1 index referred to in item 4 above is N/A 6. Ticker Symbol(s) of New Derivative Securities Product: ETX 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ETX: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Jeffrey S. Rosenstrock Title: General Con 201 972-8295 Telephone Number: Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: 14 Martin 1013 Date: Public MAR 2 9 2013 Availability: