OMB APPRO Submit 1 Original For Internal Use Only OMB Number: 3235-0504 and 9 Copies Sec File No. 9-August 31, 2010 Expires: UNITED STATES SECURITIES AND EXCHANGE dyerage burden SECURITIES AND EXCHANGE COMMISSION FOR THE PROPERTY BUD Washington, D.C. 20549 APR 262113 FORM 19b-4(e) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. **Class of New Derivative Securities Product:** 3. See attached Name of Underlying Instrument: 4. See attached. 13005367 If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7 See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9 Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Securities Exchange Act of 1934 Act Title: VP, Associate General Counsel Section 195-4 Rule 90-4(e) Telephone Number: (913) 815-7154 Public APR 2 6 2013 Manual Signature of Official Responsible for Form: Availability: Date: April 25, 2013

SEC 2449 (6-01)

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON APRIL 19, 2013

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<u>(6)</u> Ticker	(2) Type of Issuer of		(4) Name of Underlying	(7) Market(s) upon Which Securities Comprising Underlying	<u>(5) Broad</u> <u>or</u>
Symbol	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
<u>Offinisor</u>					
		Investment Company	Strategic U.S. large-		
IELG	Trust	Units	capitalization stocks	Various U.S. Markets	Broad
· · ·					
		Investment Company	Strategic U.S. small-		Brood
IESM	<u>`Trust</u>	Units	capitalization stocks	Various U.S. Markets	Broad
	-	Investment Company			
мтим	Trust	Units	MSCI USA Momentum Index	Various U.S. Markets	Broad
		Investment Company	MSCI USA Risk Weighted		
SIZE	Trust	Units	Index	Various U.S. Markets	Broad
1.	1				
			MSCI USA Volue Meighted		
VLUE	Trust	Investment Company Units	MSCI USA Value Weighted Index	Various U.S. Markets	Broad
LVLUE	riust			Turiodo 0.0. Markoto	



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April 25, 2013

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ANR 262313

**DIVISION OF TRADING & MARKETS** 

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding five (5) derivative securities products that commenced trading on BATS Exchange on April 19, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Contract Contract Contract

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

Act	Securities Exchange Act of 1934		
Section Rule	19b-4		
Public	19b-4(o)		
Availability:	APR 2 6 2013		

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM