For Internal Use Only Sec File No. 9Submit 1 Original and 4 copies

OMB APPROVAL OMB Number: 3235-0504

Expires July 31, 2004

Estimated average burden

SECURITIES AND EASHANGE COMMISSION

RECEIVED

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

APR 26 2013

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

BlackRock Fund Advisors

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

IBCB: Barclays 2016 Maturity High Quality Corporate Index IBCC: Barclays 2018 Maturity High Quality Corporate Index

IBCD: Barclays 2020 Maturity High Quality Corporate Index

IBCE: Barclays 2023 Maturity High Quality Corporate Index



5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Narrow-Based

Manual Signature of Official Responsible for Form:

6. Ticker Symbol(s) of New Derivative Securities Product:

IBCB

IBCC

IBCD

IBCE

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

IBCB: AMEX, ARCA, NASDAQ, NYSE

IBCC: AMEX, ARCA, NASDAQ, NYSE

IBCD: AMEX, ARCA, NASDAQ, NYSE

IBCE: AMEX, ARCA, NASDAQ, NYSE

Telephone Number:

Date:

(Please note, underlying components may trade on additional exchanges to	n countri	s / regions not menuonea nere.)	
8. Settlement Methodology of New Derivative Securities Product:			
T+3, Physical Settlement	ACI	Securities Exchange Act of 1934	
9. Position Limits of New Derivative Securities Product (if applicable):	Section		
Not Applicable	Rule	105.4(4)	
Part II Execution			
The undersigned represents that the governing body of the above-referenced Self-Regulatory approval to the undersigned for, the listing and trading of the above-referenced new derivative procedures, surveillance programs and listing standards.	Public Organiza Availa	tion has duly <b>APP</b> ve <b>9</b> . <b>6</b> h <b>and</b> y delegated its product according to its relevant trading rules,	
Name of Official Responsible for Form:	effrey S	S. Rosenstrock	
Title:	General	Counsel	

201-942-8295

April 24, 2013