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SECURITIES AND EXCHANGE COMMISSION

APR 23 2013

Washington

DIVISION OF TRADING & MARKETS

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	Initial Listing Report		
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Pro BATS Exchange, Inc.	duct:	
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, bro See attached.	ker-dealer, corpo	ration, etc.):
3.	Class of New Derivative Securities Product: See attached.		
4.	Name of Underlying Instrument: See attached.		NAME HAN 1111 HAN 1001 1004 1011 HAN 1011 NA 13005326
5	If Underlying Instrument is an Index, State Whether it is Broad-Based or Nar See attached.	тоw-Based:	
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.		
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument See attached.	nt Trades:	
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at	NSCC.	
9.	Position Limits of New Derivative Securities Product (if applicable): Not applicable.		,
Part II	Execution		
	The undersigned represents that the governing body of the above-referenced approved, or has duly delegated its approval to the undersigned for, the listin derivative securities product according to its relevant trading rules, procedure standards.	g and trading of	the above-referenced new
Name	of Official Responsible for Form: Anders Franzon		
Title:	VP, Associate General Counsel	Act	Securities Exchange Act of
•	one Number: (913) 815-7154	Section Rule	19b-4 19b-4(o)
Manua	Signature of Official Responsible for Form:	Public Availability:	APR 2 3 2013

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON APRIL 17, 2013

(6) Ticker	(2) Type of Issuer of		(4) Name of Underlying	(7) Market(s) upon Which Securities Comprising Underlying	(5) Broad <u>or</u>
<u>Symbol</u>	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
IQDE	Trust	Investment Company Units	Northern Trust International Quality Dividend Defensive Index	Various World Markets	Broad
IQDF	Trust	Investment Company Units	Northern Trust International Quality Dividend Index	Various World Markets	Broad
IQDY	Trust	Investment Company Units	Northern Trust International Quality Dividend Dynamic Index	Various World Markets	Broad
SLVO	Corporation	Index Linked Notes	Credit Suisse NASDAQ Silver FLOWSTM 106 Index	Various U.S. Markets	Broad



SECURITIES AND EXCHARG TOOLGAISSION

REPORTED TO SECURITIES AND EXCHARG TOOLGAISSION

APPROXIMATELY AND EXCHARG TOOLGAISSION

April 22, 2013

DIVISION OF TRADING & LIARKETS

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on BATS Exchange on April 17, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act	Securities Enchange Act of 1934		
Section	198-4		
Rule	195-4(3)		
Public Availability:	APR 2 3 2013		
1.00			