OMB AF Submit 1 Original For Internal Use Only OMB Number: 3235-0504 and 9 Copies August 31, 2010 Sec File No. 9-Expires: Estimated average burden nours per response......3.60 UNITED STATES SECURITIES AND SECURITIES AND EXCHANGE COMMISSION RECEIVED Washington, D.C. 20549 APR 23 2913 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing Misor Prading a WewARKETS Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. 13005325 If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Act Securities Exchange Act of 1984 VP, Associate General Counsel Title: Section Rule **Telephone Number:** (913) 815-7154 Public Manual Signature of Official Responsible for Form: Availability: APR 2 3 2013 Date: April 18, 2013

SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC. COMMENCING ON APRIL 15, 2013

а. С			•	(7) Market(s) upon	(E) Broad	
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad	
<u>Ticker</u>	<u>issuer of</u>		(4) Name of Underlying	Comprising Underlying	<u>or</u>	
<b>Symbol</b>	NDSP	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	Narrow	
		Investment Company	BNY Mellon Emerging Asia DR			
ASDR	Trust	Units	Index	Various World Markets	Broad	
	<u> </u>					
		• ,				
		Investment Company	BNY Mellon Emerging Markets			
EMDR	Trust	Units	DR Index	Various World Markets	Broad	
		Investment Company	BNY Mellon Russia Select DR			
RUDR	Trust	Units	Index	Various World Markets	Broad	
		Investment Company				
BRZU	Trust	Units	MSCI Brazil 25/50 Index	Various World Markets	Broad	
		Investment Company				
KORU	Trust	Units	MSCI Korea 25/50 Index	Various World Markets	Broad	



SECURITIES AND ETCHARGE COMMISSION

FR: 232013

April 18, 2013

**DIVISION OF TRADING & MARKETS** 

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding five (5) derivative securities products that commenced trading on BATS Y-Exchange on April 15, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Ast	Securities Exchange Act of 1934
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Fuelic Availability:	APR 2 3 2013

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