SECURITIES AND EXCHANGE VORMINSSION For Internal Use Only Sec File No. 9-RECEIVED APR 23 2013 DIVISION OF TRADING & MARKETS Submit 1 Original and 4 copies

OMB Number: 3235-0504 Expires July 31, 2004 Estimated average burden hours per response. . 2.00

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Credit Suisse AG 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: SLVO: Credit Suisse NASDAQ Silver FLOWSTM 106 Index (the "Index") 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 1 index referred to in item 4 above is N/A 6. Ticker Symbol(s) of New Derivative Securities Product: SLVO 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: SLVO: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Dangey S. Rusenau Chiles Exchange Act of 193 Name of Official Responsible for Form: Title: General Counsel Telephone Number: 2015年118295 Ruck ov 8 ** April 19, 2013 Manual Signature of Official Responsible for Form: Date: Public APR 2 3 2013

Availability: