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SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
APR 23 2013  
DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



13005300

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Northern Trust Investments, Inc.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

IQDF: Northern Trust International Quality Dividend Index  
IQDE: Northern Trust International Quality Dividend Defensive Index  
IQDY: Northern Trust International Quality Dividend Dynamic Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 3 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

IQDF, IQDE, IQDY

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

IQDF: Amex, Arca, NYSE, Nasdaq  
IQDE: Amex, Arca, NYSE, Nasdaq  
IQDY: Amex, Arca, NYSE, Nasdaq

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstam Securities Exchange Act of 1934

Title:

General Counsel

Telephone Number:

202 597 8596

Manual Signature of Official Responsible for Form:

[Signature]

Date:

April 16, 2013

Availability: APR 23 2013