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|--|--|--|-------------------------|---|
| For Internal Use<br>Only<br>Sec File No. 9-<br>SECURITIES AND EXCHANGE COMMISSION<br>RECOENTED<br>APR 1 1 2013<br>DIVISION OF TRADING & MARKETS<br>Information<br>Derivative Securit               | and 9<br>UNITED<br>SECURITIES AND EXCH<br>Washingtor   | n, D.C. 20549<br>/ 19b-4(e)<br>tory Organization L | isting and Tradi        | OMB APPROVAL<br>OMB Number: 3235-0504<br>Expires: August 31, 2010<br>Estimated average burden<br>hours per response3.60 |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM   |  |  |                         |   |
| Part I Initial Listing Report  |  |  |                         |   |
| <ol> <li>Name of Self-Regulatory Organization Listing New Derivative Securities Product:<br/>NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)</li> </ol>                       |  |  |                         |   |
| 2. Type of Issuer of New Derivativ<br>Open End Management Inv  | ve Securities Product (e.g., cle<br>vestment Company   | aringhouse, broker-de                              | ealer, corporation,     | etc.):  |
| 3. Class of New Derivative Securi<br>Exchange Traded Fund  |  |  |                         |   |
| 4. Name of Underlying Instrument<br>Solactive Nigeria Index  | t:   |  |                         | 13005293  |
| <ol> <li>If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:<br/>Broad-based</li> </ol>   |  |  |                         |   |
| 6. Ticker Symbol(s) of New Deriv<br>NGE  |  |  |                         |   |
| <ol> <li>Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:<br/>Listed on: Hong Kong, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, Nigerian, OTC</li> </ol> |  |  |                         |   |
| <ol> <li>Position Limits of New Derivative Securities Product (if applicable):</li> <li>Regular way trades settle on T + 3 (cash settled)</li> </ol>   |  |  |                         |   |
| <ol> <li>Position Limits of New Derivat<br/>N/A</li> </ol>   | tive Securities Product (if appl   | icable):   |                         |   |
| Part II  |  | Execution  |                         |   |
| approved or has duly dele  | nts that the governing body of t<br>egated its approval to the unde<br>product according to its releva | rsigned for, the listing                           | g and trading of th     | e above-referenced  |
| Name of Official Responsible for F<br>Joan Conley  | <sup>-</sup> orm:  |  |                         |   |
| Title:<br>Secretary  |  | ,  |                         |   |
| Telephone Number:<br>301-978-8735  |  |  |                         |   |
| Manual Signature of Official Respo   | onsible for Form:  | XRI U.   | Act/WIM                 | ecurities Exchange Act of 1934  |
| Date: April 08, 2013   |  |  | Rule                    | 90-4<br>19b-4(e)  |
| SEC 2449 (6-01)  |  | $\bigcirc$   | Public<br>Availability: | APR 1 1 2013  |
|  |  |  | 1                       |   |

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