For Internal Use Only SECURITIES AND EXCHANGE COMMISSION Sec File No. 9-

RECEIVED

APR 09 2013

Submit 1 Original and 9 Copies

OMB Number:

3235-0504 Expires: August 31, 2013

Estimated average burden hours per response. 3.60

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. The NASDAQ Stock Market LLC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **BNY Mellon Emerging Markets DR Index** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: **EMDR** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Listed on: NASDAQ, NYSE, NYSE MKT, London Stock Exchange 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle T+3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Title: Vice President, Listing Qualifications Telephone Number: Securities Exchange Act of 1934 Act (301) 978-8088 Section 19b-4 Manual Signature of Official Responsible for Form: Rule 19b-4(e) Public-Date: APR 0 9 2013 Availability: April 8, 2013