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Manual Signature of Official Responsible for Form:

Date:

Submit 1 Original and 4 copies

91-1548 PY

OMB Number: 3235-0504 Expires July 31, 2004 Estimated average burden hours per response. 2.00

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Tracing a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Rafferty Asset Management, LLC 3. Class of New Derivative Securities Product: **Investment Company Unit** 4. Name of Underlying Instrument: BRZU: MSCI Brazil 25/50 Index KORU: MSCI Korea 25/50 Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 2 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: BRZU, KORU 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: BRZU: AMEX, ARCA, NASDAQ, NYSE KORU: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Jeffrey S. Rosenstrock General Counse curities Exchange Act of 1934 Title: Telephone Number: 201-942-8295

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Availability:

Public

April 11, 2013 19h-41

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