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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

ALPS Advisors Inc

Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

ASDR: BNY Mellon Emerging Asia DR Index EMDR: BNY Mellon Emerging Markets DR Index RUDR: BNY Mellon Russia Select DR Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 3 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

ASDR, EMDR, RUDR

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

ASDR: AMEX, ARCA, NASDAQ, NYSE EMDR: AMEX, ARCA, NASDAQ, NYSE RUDR: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Jeffrey S. Rosenstrock Ac General Counsylrities Exchange Act of 1930 Title: Telephone Number: 201-942-8295 Ruja & Kima Tuha Ruja & Kima Tuha April 11, 2013 (hali (c) Manual Signature of Official Responsible for Form: Date:

Public Availability: