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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **BNY Mellon Emerging Asia DR Index** If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: **ASDR** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ, NYSE, NYSE MKT, London Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Regular way trades settle T+3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Title: Vice President, Listing Qualifications Act Securities Exchange Act of 1934 Telephone Number: Section (301) 978-8088 19b-4 Rule Manual Signature of Official Responsible for Form: Public APR 0 9 2013 Availability: Date: April 8, 2013