091-15398pg

Sec File No. 9SECURITIES AND EXCHANGE COMMISSION
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OMB APPROVAL OMB Number: 3235-0504 Expires July 31, 2004

Estimated average burden hours per response. . 2.00

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DIVISION OF TRADING & MARKETS

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New ivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
- EDGA Exchange, Inc.

For Internal Use Only

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors L.P.
- 3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

HYLS: Bank of America Merrill Lynch U.S. High Yield Master II Constrained Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HYLS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

HYLS: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

- 8. Settlement Methodology of New Derivative Securities Product:
- T+3, Physical Settlement
- 9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Title:

Telephone Number:

Manual Signature of Official Responsible for Form:

Date:

Jeffrey S. Rosenstrock

General Counsel

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Public Availability:

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