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**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVA

OMB Number: 3235-0504 August 31, 2013 Expires:

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## **FORM 19b-4(e)**

ASION OF TRADING & MARKETS Minformation Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Initial Listing Report** 



1	Name of Salf Pagulatory Organization Lictin	a New Derivative	Securities	Prodi

- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2.
- Class of New Derivative Securities Product: 3.
- Equity 4. Name of Underlying Instrument:
- SPDR Russell 2000 Low Volatility ETF

National Stock Exchange, Inc.

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-based
- Ticker Symbol(s) of New Derivative Securities Product: 6. SMLV
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product: 8.
- 9. Position Limits of New Derivative Securities Product (if applicable):

## Part II

Part I

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Chris Solgan

Title: Securities Exchange Act of 1934 Act Senior Regulatory Counsel Section 195-4 Telephone Number: Rule 19b-4(e) 201-499-3698 Manual Signature of Official Responsible for Form: Public MAR 0 5 2013 Availability:

Date:

March 1, 2013



March 1, 2013

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange act of 1934, enclosed please find one original and nine copies of a completed Form 19b-4(e), executed on behalf of the National Stock Exchange, Inc. ("Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- 1) LGLV
- 2) SMLV
- 3) HYLS
- 4) HVPW
- 5) PEX
- 6) XSLV
- 7) XMLV

Please contact me at (201) 499-3698 if you have any questions on the enclosed materials.

Sincerely.

Chris Solgan

Encls.

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 0 5 2013