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SECURITIES AND EXCHANGE COMMISSION **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FEB 26 2013

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

DIVISION OF TRADING & MARKETS

Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: Pax MSCI EAFE ESG Index ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. EAPS 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice-President - Strategy and Product Management Telephone Number: (312) 663-2261 Act Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section Reil Date: February 22, 2013 Public Avairability:



February 22, 2013

## **By UPS**

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549

Re: Forms 19b-4(e) for various ETFs

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

SECURITIES AND EXCHANGE COMMISSION

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FEB 2 6 2013

DIVISION OF TRADING & MARKETS

Ticker Symbol	Security Description
EMCR	EG Shares Emerging Markets CORE ETF
FAUS	First Trust Australia AlphaDex Fund
FCAN	First Trust Canada AlphaDex Fund
FDTS	First Trust Developed Markets Ex-U.S. Small Cap AlphaDex Fund
FEMS	First Trust Emerging Markets Small Cap AlphaDex Fund
FGM	First Trust Germany AlphaDex Fund
FHK	First Trust Hong Kong AlphaDex Fund
FKU	First Trust United Kingdom AlphaDex Fund
FSZ	First Trust Switzerland AlphaDex Fund
FTW	First Trust Taiwan AlphaDex Fund
GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastructure ETF
TLTD	FlexShares Morningstar Developed Markets Ex-U.S. Tilt Index Fund
TLTE	FlexShares Morningstar Emerging Markets Factor Tilt Index Fund
ABCS	Guggenheim ABC High Dividend ETF
CNPF	Global Canada Preferred ETF
PERM	Global X Permanent ETF  Act Securities Exchange Act of 1934
SPFF	Global X Superincome Preferred ETF
AAIT	iShares MSCI All Country Asia Information Rule 196-4(c)
EEME	iShares MSCI Emerging Markets EMEA Index Fund
	Public Availability: FEB 2 6 2013

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EEML	iShares MSCI Emerging Markets Latin America Index Fund
EFAV	iShares MSCI EAFE Minimum Volatility Index Fund
EWHS	iShares MSCI Hong Kong Small Cap Index Fund
EWSS	iShares MSCI Singapore Small Cap Index Fund
FM	iShares MSCI Frontier 100 Index Fund
IEFA	iShares CORE MSCI EAFE
IEMG	iShares CORE MSCI Emerging Markets
IPFF	iShares S&P International Preferred Stock Index Fund
ISTB	iShares CORE Short Term U.S. Bond
IXUS	iShares CORE MSCI Total International Stock
URTH	iShares MSCI World Index Fund
EAPS	Pax MSCI EAFE ESG Index ETF
IDLV	PowerShares S&P International Developed Low Volatility Portfolio
GEMS	PureFunds ISE Diamond/Gemstone ETF
MSXX	PureFunds ISE Mining Service ETF
SILI	PureFunds ISE Junior Silver (Small Cap Miners/Explorers) ETF
ACIM	SPDR MSCI All Country World Investable Market Index ETF
EMFT	SPDR MSCI Emerging Markets 50 ETF
GMFS	SPDR S&P Small Cap Emerging Asia Pacific ETF
SNDS	Sustainable North American Oil Sands ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

**Enclosures**