For Internal Use Only Sec File No. 9-

Part I

9.

Submit 1 Original and 9 Copies

OMB Number:

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SECURITIES AND EXCHANGE COMMISSION

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

RECEIVED Washington, D.C. 20549

FEB 26 2013

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Initial Listing Report Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. Ownership of the Trust 4. Name of Underlying Instrument: First Trust Germany AlphaDex Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Settlement Methodology of New Derivative Securities Product: 8. See Prospectus

Part II Execution

See Prospectus

February 22, 2013

Position Limits of New Derivative Securities Product (if applicable):

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Steven I. Givot Title:

Vice-President - Strategy and Product Management ACL Securities Exchange Act of 1934 Telephone Number: (312) 663-2261 Section 196-4 Rich Manual Signature of Official Responsible for Form: 19h-4(c) Public FEB 2 6 2013 Date: Availability:

SEC 2449 (6-01)



February 22, 2013

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 SECURITIES AND EXCHANGE COMMISSION
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FEB 2 6 2013

DIVISION OF TRADING & MARKETS

Re: Forms 19b-4(e) for various ETFs

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

Ticker Symbol	Security Description		
EMCR	EG Shares Emerging Markets CORE ETF		
FAUS	First Trust Australia AlphaDex Fund		
FCAN	First Trust Canada AlphaDex Fund		
FDTS	First Trust Developed Markets Ex-U.S. Sn		
FEMS	First Trust Emerging Markets Small Cap		
FGM	First Trust Germany AlphaDex Fund		
FHK	First Trust Hong Kong AlphaDex Fund		
FKU	First Trust United Kingdom AlphaDex Fund		
FSZ	First Trust Switzerland AlphaDex Fund		
FTW	First Trust Taiwan AlphaDex Fund		,
GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastructure ETF		
TLTD	FlexShares Morningstar Developed Markets Ex-U.S. Tilt Index Fund		
TLTE	FlexShares Morningstar Emerging Marke	ts Factor Tilt Index Factorities	xchange Act of 1934
ABCS	Guggenheim ABC High Dividend ETF	Section 1954	
CNPF	Global Canada Preferred ETF	Rule 19154(0)	
PERM	Global X Permanent ETF	Public	
SPFF	Global X SuperIncome Preferred ETF	Availability: FEB 26	2013
AAIT	iShares MSCI All Country Asia Information Technology Index Fund		
EEME	iShares MSCI Emerging Markets EMEA Index Fund		

EEML	iShares MSCI Emerging Markets Latin America Index Fund	
EFAV	iShares MSCI EAFE Minimum Volatility Index Fund	
EWHS	iShares MSCI Hong Kong Small Cap Index Fund	
EWSS	iShares MSCI Singapore Small Cap Index Fund	
FM	iShares MSCI Frontier 100 Index Fund	
IEFA	iShares CORE MSCI EAFE	
IEMG	iShares CORE MSCI Emerging Markets	
IPFF	iShares S&P International Preferred Stock Index Fund	
ISTB	iShares CORE Short Term U.S. Bond	
IXUS	iShares CORE MSCI Total International Stock	
URTH	iShares MSCI World Index Fund	
EAPS	Pax MSCI EAFE ESG Index ETF	
IDLV	PowerShares S&P International Developed Low Volatility Portfolio	
GEMS	PureFunds ISE Diamond/Gemstone ETF	
MSXX	PureFunds ISE Mining Service ETF	
SILI	PureFunds ISE Junior Silver (Small Cap Miners/Explorers) ETF	
ACIM	SPDR MSCI All Country World Investable Market Index ETF	
EMFT	SPDR MSCI Emerging Markets 50 ETF	
GMFS	SPDR S&P Small Cap Emerging Asia Pacific ETF	
SNDS	Sustainable North American Oil Sands ETF	

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

Enclosures