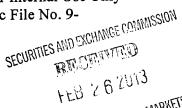
For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies

OMB APPROVAL

3235-0504 OMB Number: August 31, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Expires: Estimated average burden hours per response. 3.60



FORM 19b-4(e)

FORM 19b-4(e)

FORM 19b-4(e)

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: 4. First Trust Switzerland AlphaDex Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. **IFSZ** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Settlement Methodology of New Derivative Securities Product: 8. See Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Securities Exchange Act of 1934 Vice-President - Strategy and Product Management Act Telephone Number: 19b-4 Section (312) 663-2261 19b-4(c) Rule Manual Signature of Official Responsible for Form: **Public** FEB 2 6 2013 Availability: Date: February 22, 2013



February 22, 2013

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 SECURITIES AND EXCHANGE COMMISSION

RECEIVED

FEB 2 6 2013

DIVISION OF TRADING & MARKETS

Re: Forms 19b-4(e) for various ETFs

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

| Ticker Symbol | Security Description | |
|---------------|------------------------------------------------------------------|-------------|
| EMCR | EG Shares Emerging Markets CORE ETF | |
| FAUS | First Trust Australia AlphaDex Fund | |
| FCAN | First Trust Canada AlphaDex Fund | |
| FDTS | First Trust Developed Markets Ex-U.S. Small Cap AlphaDex Fund | |
| FEMS | First Trust Emerging Markets Small Cap AlphaDex Fund | |
| FGM | First Trust Germany AlphaDex Fund | |
| FHK | First Trust Hong Kong AlphaDex Fund | |
| FKU | First Trust United Kingdom AlphaDex Fund | |
| FSZ | First Trust Switzerland AlphaDex Fund | |
| FTW | First Trust Taiwan AlphaDex Fund | N. |
| GRID | First Trust NASDAQ Clean Edge Smart Grid Infrastructure ETF | |
| TLTD | FlexShares Morningstar Developed Markets Ex-U.S. Tilt Index Fund | |
| TLTE | FlexShares Morningstar Emerging Markets Factor Tilt Index Fund | |
| ABCS | Guggenheim ABC High Dividend ETF Agt Securities Exchange | Act of 1934 |
| CNPF | Global Canada Preferred ETF | |
| PERM | Global X Permanent ETF | |
| SPFF | Global X SuperIncome Preferred ETF | |
| AAIT | iShares MSCI All Country Asia Information Technology Intel 2013 | |
| EEME | iShares MSCI Emerging Markets EMEA Index Fund | |

| EEML | iShares MSCI Emerging Markets Latin America Index Fund |
|------|------------------------------------------------------------------|
| EFAV | iShares MSCI EAFE Minimum Volatility Index Fund |
| EWHS | iShares MSCI Hong Kong Small Cap Index Fund |
| EWSS | iShares MSCI Singapore Small Cap Index Fund |
| FM | iShares MSCI Frontier 100 Index Fund |
| IEFA | iShares CORE MSCI EAFE |
| IEMG | iShares CORE MSCI Emerging Markets |
| IPFF | iShares S&P International Preferred Stock Index Fund |
| ISTB | iShares CORE Short Term U.S. Bond |
| IXUS | iShares CORE MSCI Total International Stock |
| URTH | iShares MSCI World Index Fund |
| EAPS | Pax MSCI EAFE ESG Index ETF |
| IDLV | PowerShares S&P International Developed Low Volatility Portfolio |
| GEMS | PureFunds ISE Diamond/Gemstone ETF |
| MSXX | PureFunds ISE Mining Service ETF |
| SILJ | PureFunds ISE Junior Silver (Small Cap Miners/Explorers) ETF |
| ACIM | SPDR MSCI All Country World Investable Market Index ETF |
| EMFT | SPDR MSCI Emerging Markets 50 ETF |
| GMFS | SPDR S&P Small Cap Emerging Asia Pacific ETF |
| SNDS | Sustainable North American Oil Sands ETF |

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

Enclosures