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**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION
SECURITIES AND EXCHANGE COMMISSION RECEIVED Washington, D.C. 20549

FFB 26 2013

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Delivative Securities Pursu e Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: 4. First Trust United Kingdom AlphaDex Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. FKU 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Vice-President - Strategy and Product Management Telephone Number: Securities Exchange Act of 1934 Act (312) 663-2261 Manual Signature of Official Responsible for Form: 195-4 Section 19b-4(c) Rule Date: Dublic FEB 2 6 2013 February 22, 2013 Availability:



February 22, 2013

## **By UPS**

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION RECEIVED

FEB 26 2013

**DIVISION OF TRADING & MARKETS** 

Re: Forms 19b-4(e) for various ETFs

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

Ticker Symbol	Security Description			
EMCR	EG Shares Emerging Markets CORE ETF			
FAUS	First Trust Australia AlphaDex Fund			
FCAN	First Trust Canada AlphaDex Fund			
FDTS	First Trust Developed Markets Ex-U.S. Small Cap AlphaDex Fund			
FEMS	First Trust Emerging Markets Small Cap AlphaDex Fund			
FGM	First Trust Germany AlphaDex Fund			
FHK	First Trust Hong Kong AlphaDex Fund			
FKU	First Trust United Kingdom AlphaDex Fund			
FSZ	First Trust Switzerland AlphaDex Fund			
FTW	First Trust Taiwan AlphaDex Fund	Agŧ	Securities Exch	ange Act of 1934
GRID	First Trust NASDAQ Clean Edge Smart Gr	SPARASTRUCTU	re of a	
TLTD	FlexShares Morningstar Developed Mark	ets Ex-U.S. Till	Index:Fand	
TLTE	FlexShares Morningstar Emerging Marke	s Factor Tilt li	nde <u>x Fu</u> nd	
ABCS	Guggenheim ABC High Dividend ETF	Avaifability	FEB 2 6 20	<b>]</b> 3
CNPF	Global Canada Preferred ETF			
PERM	Global X Permanent ETF			
SPFF	Global X Superincome Preferred ETF			
AAIT	iShares MSCI All Country Asia Information Technology Index Fund			
EEME	iShares MSCI Emerging Markets EMEA Index Fund		]	

EEML	iShares MSCI Emerging Markets Latin America Index Fund		
EFAV	iShares MSCI EAFE Minimum Volatility Index Fund		
EWHS	iShares MSCI Hong Kong Small Cap Index Fund		
EWSS	iShares MSCI Singapore Small Cap Index Fund		
FM	iShares MSCI Frontier 100 Index Fund		
IEFA	iShares CORE MSCI EAFE		
IEMG	iShares CORE MSCI Emerging Markets		
IPFF	iShares S&P International Preferred Stock Index Fund		
ISTB	iShares CORE Short Term U.S. Bond		
IXUS	iShares CORE MSCI Total International Stock		
URTH	iShares MSCI World Index Fund		
EAPS	Pax MSCI EAFE ESG Index ETF		
IDLV	PowerShares S&P International Developed Low Volatility Portfolio		
GEMS	PureFunds ISE Diamond/Gemstone ETF		
MSXX	PureFunds ISE Mining Service ETF		
SILI	PureFunds ISE Junior Silver (Small Cap Miners/Explorers) ETF		
ACIM	SPDR MSCI All Country World Investable Market Index ETF		
EMFT	SPDR MSCI Emerging Markets 50 ETF		
GMFS	SPDR S&P Small Cap Emerging Asia Pacific ETF		
SNDS	Sustainable North American Oil Sands ETF		

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

**Enclosures**