For Internal Use Only

Sec File No. 9-SECURITIES AND EXCHANGE COMMISSION RECEIVED

Submit 1 Original and 9 Copies

UNITED STATES

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2013

Estimated average burden hours per response. 3.60

SECURITIES AND EXCHANGE COMMISSION FEB 26 2013

Washington, D.C. 20549

DIVISION OF TRADING & MARKETS

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: 3. Ownership of the Trust Name of Underlying Instrument: 4. Global X Permanent ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: PERM 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Steven I. Givot Title: Act Securities Exchange Act of 1934 Vice-President - Strategy and Product Management Telephone Number: Section 19b.4 (312) 663-2261 Rule 19b-4(e) Manual Signature of Official Responsible for Form: Public FEB 2 6 2013 Availability: Date: February 22, 2013



February 22, 2013

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 SECURITIES AND EXCHANGE COMMISSION

RECEIVED

FEB 2 6 2013

DIVISION OF TRADING & MARKETS

Re: Forms 19b-4(e) for various ETFs

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following ETFs and ETNs:

Ticker Symbol	Security Description			
EMCR	EG Shares Emerging Markets CORE ETF			
FAUS	First Trust Australia AlphaDex Fund			1
FCAN	First Trust Canada AlphaDex Fund			
FDTS	First Trust Developed Markets Ex-U.S. Small Cap AlphaDex Fund			
FEMS	First Trust Emerging Markets Small Cap AlphaDex Fund			
FGM	First Trust Germany AlphaDex Fund			
FHK	First Trust Hong Kong AlphaDex Fund			
FKU	First Trust United Kingdom AlphaDex Fund			
FSZ	First Trust Switzerland AlphaDex Fund			·
FTW	First Trust Taiwan AlphaDex Fund			
GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastructure ETF			. ,
TLTD	FlexShares Morningstar Developed Markets Ex-U.S. Tilt Index Fund			
TLTE	FlexShares Morningstar Emerging Markets Fac	tor Tilt Indox Fu	ind	Exchange Act of 1934
ABCS	Guggenheim ABC High Dividend ETF	Act	Securities	Exchange Act of 1954
CNPF	Global Canada Preferred ETF	Section	19b-4	
PERM	Global X Permanent ETF	Rule	197-4(0)	
SPFF	Global X Superincome Preferred ETF	Public	FEB 2	c 2012
AAIT	iShares MSCI All Country Asia Information Tecl		und L	0 2013
EEME	iShares MSCI Emerging Markets EMEA Index Fo			

EEML	iShares MSCI Emerging Markets Latin America Index Fund		
EFAV	iShares MSCI EAFE Minimum Volatility Index Fund		
EWHS	iShares MSCI Hong Kong Small Cap Index Fund		
EWSS	iShares MSCI Singapore Small Cap Index Fund		
FM	iShares MSCI Frontier 100 Index Fund		
IEFA	iShares CORE MSCI EAFE		
IEMG	iShares CORE MSCI Emerging Markets		
IPFF	iShares S&P International Preferred Stock Index Fund		
ISTB	iShares CORE Short Term U.S. Bond		
IXUS	iShares CORE MSCI Total International Stock		
URTH	iShares MSCI World Index Fund		
EAPS	Pax MSCI EAFE ESG Index ETF		
IDLV	PowerShares S&P International Developed Low Volatility Portfolio		
GEMS	PureFunds ISE Diamond/Gemstone ETF		
MSXX	PureFunds ISE Mining Service ETF		
SILI	PureFunds ISE Junior Silver (Small Cap Miners/Explorers) ETF		
ACIM	SPDR MSCI All Country World Investable Market Index ETF		
EMFT	SPDR MSCI Emerging Markets 50 ETF		
GMFS	SPDR S&P Small Cap Emerging Asia Pacific ETF		
SNDS	Sustainable North American Oil Sands ETF		

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot

Vice President – Strategy and Product Management

Enclosures