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FEB 56,5013 UNITED STATES SECURITIES AND EXCHANGE COMMISSION ONISION OF TRADING & MI Washington, D.C. 20549

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchan

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR

## Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)

- **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:
  - **Exchange Traded Fund**
- 4. Name of Underlying Instrument:
  - Solactive High Income Infrastructure MLP Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:

**YMLI** 

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

## Part II

## **Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: February 15, 2013

SEC 2449 (6-01)

Securities Exchange Act of 1934

Section 19b-4 Rule 19b-4(c)

Public

Availability:

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