| | () | 91-15325/0 |
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| DIVISION OF TRADING & MARINE Information Required of | Submit 1 Original and 9 Copies UNITED STATES S AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) f a Self-Regulatory Organization Listing a ursuant to Rule 19b-4(e) Under the Secur | |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | | |
| Part I 1. Name of Self-Regulatory Organization Listing | Initial Listing Report New Derivative Securities Product: | |
| NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 13005161 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 0pen End Management Investment Company | | |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund | | |
| 4. Name of Underlying Instrument: Solactive High Income Infrastructure MLP Index | | |
| If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based | | |
| Ticker Symbol(s) of New Derivative Securities Product: YMLI | | |
| 7. Market or Markets Upon Which Securities Cor Listed on: NASDAQ Stock Market LLC, I | nprising Underlying Instrument Trades: NYSE | |
| Position Limits of New Derivative Securities P Regular way trades settle on T + 3 (cash | Product (if applicable): | |
| Position Limits of New Derivative Securities P N/A | | |
| Part II Execution | | |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Joan Conley | | |
| Title: Secretary | | |
| Telephone Number: 301-978-8735 | | |
| Manual Signature of Official Responsible for Form | | Securities Exchange Act of 1934 |
| Date: February 15, 2013 | Sectio | m 19b-4 |
| SEC 2449 (6-01) | Public | 19b-4(e) |
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