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procedures, surveillance programs and listing standards.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION **WASHINGTON, D.C. 20549** AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Invesco PowerShares Capital Mgmt LLC 3. Class of New Derivative Securities Product: **Investment Company Unit** 4. Name of Underlying Instrument: XSLV: S&P SmallCap 600 Low Volatility Index XMLV: S&P MidCap 400 Low Volatility Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 2 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: XSLV, XMLV 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: XSLV: AMEX, ARCA, NASDAQ, NYSE XMLV: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules,

Name of Official Responsible for Form: Jeffrey S. Rosenstrock Title: General Counsel Securities Exchange Act of 1934 201/942 8295 Telephone Number: Manual Signature of Official Responsible for Form: 19b-4 Date: Febru 195-4(e)

> **Public** Availability:

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