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| | -4(e) Under the Securities Exchange Act o | f 1934 |
| READ ALL INSTRUCTIONS PRICE | | |
| | sting Report | |
| 1. Name of Self-Regulatory Organization Listing New Derivativ | ve Securities Product: | · |
| EDGX Exchange, Inc. | | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., cle | earinghouse, broker-dealer, corporation | on, etc.): |
| Pacific Investment Management Co | | |
| 3. Class of New Derivative Securities Product: | | |
| Investment Company Unit | | |
| 4. Name of Underlying Instrument: | | |
| FORX: BofA Merrill Lynch 1-3 Year Trade-Weighted Global Governme | ent Index | |
| 5. If Underlying Instrument is an Index, State Whether it is Broad | ad-Based or Narrow-based: | |
| The 1 index referred to in item 4 above is Broad | | |
| 6. Ticker Symbol(s) of New Derivative Securities Product: FORX | | 199 199 196 |
| 7. Market or Markets Upon Which Securities Comprising Under | rlying Instrument Trades: | |
| | rlying Instrument Trades: | |
| FORX: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on addition | al exchanges in countries / regions not men | tioned here.) |
| FORX: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional) 8. Settlement Methodology of New Derivative Securities Produc | al exchanges in countries / regions not men | tioned here.) |
| FORX: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additionals). Settlement Methodology of New Derivative Securities Productions, Physical Settlement | al exchanges in countries / regions not men ct: | tioned here.) |
| FORX: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additions 8. Settlement Methodology of New Derivative Securities Product 1+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if apple) | al exchanges in countries / regions not men ct: | tioned here.) |
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