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For Internal Use Only Sec File No. 9- SECURITIES AND EXCHANGE COMM SECURITIES AND EXCHANGE COMM RECEIVED JAN 1820	Submit 1 ( and 9 C UNITED S SECURITIES AND EXCHA Washington, FORM		OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response3.60
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I Initial Listing Report I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAO ONX PHUX LLC (traded pursuant to unlisted trading privileges)			
2. Type of Issuer of New Der Corporation	ivative Securities Product (e.g., clear	inghouse, broker-dealer, corp	oration, etc.):
3. Class of New Derivative Se Index Linked Note	ecurities Product:		
4. Name of Underlying Instru S&P MLP Index			
<ol> <li>If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based</li> </ol>			
6. Ticker Symbol(s) of New Derivative Securities Product: IMLP			
<ol> <li>Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE</li> </ol>			
<ol> <li>Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)</li> </ol>			
<ol> <li>Position Limits of New De N/A</li> </ol>	erivative Securities Product (if applic	able):	
Part II		ecution	
approved, or has dul new derivative secur standards.	resents that the governing body of the y delegated its approval to the unders ities product according to its relevan	signed for, the listing and trad	ling of the above-referenced
Name of Official Responsible Joan Conley	for Form:		
Title: Secretary	<u> </u>	(	
Telephone Number: 301-978-8735		And Andrew	
Manual Signature of Official	Responsible for Form:	Act	Securities Exchange Act of 1934
Date: January 07, 2013		Section	195-4
SEC 2449 (6-01)		Rufe Public Availability:	<u>19b-4(e)</u> JAN 1 8 2013

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