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SECURITIES AND EXCHANGE COMMISSION  
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 DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



<b>Part I</b>	<b>Initial Listing Report</b>
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:	<b>The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)</b>
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):	<b>Open End Management Investment Company</b>
3. Class of New Derivative Securities Product:	<b>Exchange Traded Fund</b>
4. Name of Underlying Instrument:	<b>Physical Platinum and Palladium Bullion</b>
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:	<b>Not Applicable</b>
6. Ticker Symbol(s) of New Derivative Securities Product:	<b>SPPP</b>
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:	<b>Listed on: OTC</b>
8. Position Limits of New Derivative Securities Product (if applicable):	<b>Regular way trades settle on T + 3 (cash settled)</b>
9. Position Limits of New Derivative Securities Product (if applicable):	<b>N/A</b>

<b>Part II</b>	<b>Execution</b>
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form:	<b>Gary N. Sundick</b>
Title:	<b>Vice President, Listing Qualifications</b>
Telephone Number:	<b>1-301-978-5214</b>
Manual Signature of Official Responsible for Form:	<i>Gary N. Sundick</i>
Date:	<b>December 21, 2012</b>

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	JAN 07 2013