For Internal Use Submit 1 Original SECURITIES MID EICHNICE COMMISSION OMB APPROVAL Only and 9 Copies Sec File No. 9-OMB Number: 3235-0504 BENCHTTED RENCHTTED Expires: August 31, 2010 UNITED STATES Estimated average burden SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 FORM 19b-4(e) FORM 19b-4(e) MISION Of Information Required of a Self-Regulatory Organization Listing and Trading a New Berivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: Exchange Traded Fund 4. Name of Underlying Instrument: GS Risk Adjusted Return U.S. Large Cap Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-based 6. Ticker Symbol(s) of New Derivative Securities Product: GSRA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NYSE Arca 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Joan Conley Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Section 190-4 Date: **December 21, 2012** 19b-4(c) Rule SEC 2449 (6-01) Public JAN 07 2013 Availability: