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OMB Number: 3235-0504 Expires July 31, 2004 Estimated average burden hours per response. . 2.00



UNITED STATES SECURITIES AND EXCHANGE COMMISSION **WASHINGTON, D.C. 20549** AMENDED FORM 19b-4(e)



19b-4(e)

JAN 04 2013

Public

Availability:

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): ALPS Advisors Inc 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: GSGO: GS Momentum Builder♥ Growth Markets Equities and U.S. Treasuries Index; GSMA: GS Momentum Builder Multi-Asset Index: GSAX: GS Momentum Builder Asia ex-Japan Equities and U.S. Treasuries Index; GSRA: GS Risk-Adjusted Return U.S. Large Cap Index. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 4 indexes referred to in item 4 above are Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: GSGO, GSMA, GSAX, GSRA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: GSGO: NYSE Arca; GSMA: NYSE Arca; GSAX: NYSE Arca; GSRA: NYSE Arca. (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Jeffrey S. Rosenstrock Name of Official Responsible for Form: Title: **General Counsel** Telephone Number: 201:942-6295 Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: 13 2, 2013 10h Date: Rule