

091-15191m

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Northern Trust Investments, Inc.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

QDF: Northern Trust Quality Dividend Index;
QDEF: Northern Trust Quality Dividend Defensive Index;
QDYN: Northern Trust Quality Dividend Dynamic Index.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 3 indexes referred to in Item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

QDF, QDEF, QDYN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

QDF: NYSE Area;
QDEF: NYSE Area;
QDYN: NYSE Area

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jeffrey S. Rosenstock

Title:

General Counsel

Telephone Number:

202-312-8293

Manual Signature of Official Responsible for Form:

Section 19b-4

Date:

Jan 04 2013 19b-4(e)

Public
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Securities Exchange Act of 1934