

091-15178

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

| | |
|---|-----------------|
| OMB APPROVAL | |
| OMB Number: | 3235-0504 |
| Expires: | August 31, 2013 |
| Estimated average burden hours per response..... | 3.60 |

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

JAN -7 2013
DIVISION OF TRADING & MARKETS

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



13005032

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Equity
- Name of Underlying Instrument:
Sprott Physical Platinum and Palladium Trust
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:
SPPP
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:
Cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Chris Solgan

Title:
Senior Regulatory Counsel

Telephone Number:
201-499-3698

Manual Signature of Official Responsible for Form:

Date:
January 4, 2013

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JAN 07 2013 |



SECURITIES AND EXCHANGE COMMISSION
JAN 04 2013
72 11

January 4, 2013

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange act of 1934, enclosed please find one original and nine copies of a completed Form 19b-4(e), executed on behalf of the National Stock Exchange, Inc. ("Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- | | |
|---------|----------|
| 1. DBIZ | 6. GSGO |
| 2. QDF | 7. GSMA |
| 3. QDEF | 8. GSAX |
| 4. QDYN | 9. GSRA |
| 5. SPPP | 10. IMLP |

Please contact me at (201) 499-3698 if you have any questions on the enclosed materials.

Sincerely,

Chris Solgan

Encls.

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JAN 07 2013 |