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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

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FORM 19b-4(e)

Washington, D.C. 20549

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. 3. Class of New Derivative Securities Product: Equity Name of Underlying Instrument: 4. FlexShares Quality Dividend Defensive Index Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 5. Ticker Symbol(s) of New Derivative Securities Product: 6. ODEF Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Cash Position Limits of New Derivative Securities Product (if applicable): 9. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Chris Solgan Title: Senior Regulatory Counsel Telephone Number: Act Securities Enchange Act of 1934 201-499-3698 Manual Signature of Official Rest onsible for Form: Section 19b-4 Rule 19b-/(e) Date: Public JAN 07 2013 January 4, 2013 Availability:



SECURITES THE STREET THE PROPERTY OF THE STREET

January 4, 2013

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange act of 1934, enclosed please find one original and nine copies of a completed Form 19b-4(e), executed on behalf of the National Stock Exchange, Inc. ("Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

I. DBIZ	6. GSGC	)
2. QDF	7. GSM/	
3. QDEF	8. GSAX	
4. QDYN	9. GSRA	Ī
5. SPPP	10. <b>IMLI</b>	P

Please contact me at (201) 499-3698 if you have any questions on the enclosed materials.

**V** ()

Chris Solgan

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Act	Securities Probable Act of 1934	
Section Rule	19h-4 19b-4(0)	
Public Availability:	JAN 07 2013	