| | | | 091-09-7118 |
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| For Intern Sec File M | al Use Only RECEIVED Submit 1 Original Io. 9- and 9 Copies | | OMB APPROVAL |
| | 2010 AUG - 3 AM 9:29 UNITED STA | | OMB Number: 3235-050 SION Expires: August 31, 20 |
| | SEC / TM Washington, D. | | SION Expires: August 31, 20 Estimated average burden Hours per response3. |
| | FORM 19b | D-4(e) | |
| | Information Required of a Self-Regulatory Or Derivative Securities Product Pursuant to Rule 19b-4 | | |
| | READ ALL INSTRUCTIONS PRIO | R TO COMPLE | TING FORM |
| Part I | Initial Listing Rep | port | |
| 1. | Name of Self-Regulatory Organization Trading New Derivat | ive Securities Pro | duct: 10048351 |
| | National Stock Exchange, Inc. | | |
| 2. | Type of Issuer of New Derivative Securities Product (<u>e.g.</u> , cl Trust | earinghouse, brok | er-dealer, corporation, etc.): |
| 3. | Class of New Derivative Securities Product: | | |
| | Equity | | |
| 4. | Name of Underlying Instrument: | | |
| | Solactive Global Lithium Index | | |
| 5. | If Underlying Instrument is an Index, State Whether it is Bro | ad-Based or Narro | ow-Based: |
| | Broad-Based | | |
| 6. | Ticker Symbol(s) of New Derivative Securities Product: | | |
| _ | | 1 • . T (| Tradaa |
| 7. | Market or Markets Upon Which Securities Comprising Under Global securities markets | erlying Instrument | Trades: |
| 0 | | | · · · · · · · · · · · · · · · · · · · |
| 8. | Settlement Methodology of New Derivative Securities Producash | ici. | |
| 9. | Position Limits of New Derivative Securities Product (if app | licable): | |
| | | | |
| Part II | Execution | | |
| | The undersigned represents that the governing body of the abov | ve-referenced Self- | Regulatory Organization has duly approve |
| | or has duly delegated its approval to the undersigned for, the tra according to its relevant trading rules (including unlisted trading | ding of the above | -referenced new derivative securities produced |
| Name of (| Official Responsible for Form: James C. Yong | Act | Securities Exchange Act of 1934 |
| Title: Ch | ef Regulatory Officer | Section | 19b-4 |
| Telephon | e Number: (312) 786-8893 | Rule Public | 19b-4(e) AUG 3 2010 |
| Manual S | gnature of Official Responsible for Form: | Availability: | |
| <u> </u> | 7 27, 2010 | | |



James C. Yong Chief Regulatory Officer james.yong@nsx.com RECEIVED 2010 AUG - 3 AM 9: 19 SEC / TM

July 27, 2010

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

| 1. GRV | 7. AXDI | 13. AXMT | 19. EMLC |
|---------|----------|----------|----------|
| 2. MLPG | 8. AXSL | 14. AXTE | |
| 3. RETL | 9. AXEN | 15. AXUT | |
| 4. RETS | 10. AXHE | 16. XXV | |
| 5. FCGL | 11. AXID | 17. AADR | |
| 6. FCGS | 12. AXIT | 18. LIT | |

Please contact me if you have any questions on the enclosed materials.

| | Very | r truly | yours | | • | |
|---|------|---------|--|---|---|--|
| • | Jam | es C. Y | ong | F | Ì | |
| | | | and the second s | | | |

| Act | Securities Exchange Act of 1934 | | | |
|-------------------------|---------------------------------|--|--|--|
| Section | 19b-4 | | | |
| Rule | 19b-4(e) | | | |
| Public Availability: | AUG 3 2010 | | | |

Encls.

One Financial Place 440 South LaSalle Street Suite 2600 Chicago Illinois 60605 Phone 312.786.8893 Fax 312.939.7239 www.nsx.com