		U91-0910402
For Internal Use Only	Submit 1 Original	OMB APPROVAL
RECEIVED	and 4 copies	OMB Number: 3235-0504
		Expires July 31, 2004
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SEC / TM	UNITED STATES	(
	AND EXCHANGE COMMISSION SHINGTON, D.C. 20549	
	ENDED FORM 19b-4(e)	10048332
	Regulatory Organization Listing and Trad t to Rule 19b-4(e) Under the Securities Exc	
READ ALL INSTRUCTI	ONS PRIOR TO COMPLETING FO	DRM
Part I	Initial Listing Report	
1. Name of Self-Regulatory Organization Listing New	v Derivative Securities Product:	
EDGA Exchange, Inc		
2. Type of Issuer of New Derivative Securities Produce Charles Schwab Investment Management	ct (e.g., clearinghouse, broker-dealer, c	orporation, etc.):
3. Class of New Derivative Securities Product:		
Portfolio Depositary Receipt		
4. Name of Underlying Instrument:		
Series L; SCHR: Barclays US 3-10 Year Treasury Bond In 5. If Underlying Instrument is an Index, State Whethe		
The 3 indexes referred to in item 4 above are Broad-Based		
6. Ticker Symbol(s) of New Derivative Securities Pro	duct:	
SCHO, SCHP, SCHR		
7. Market or Markets Upon Which Securities Compri	sing Underlying Instrument Trades:	
SCHO: OTC US; SCHP: OTC US; SCHR: OTC US		
8. Settlement Methodology of New Derivative Securi	ties Product:	
Γ+3, Physical Settlement		
9. Position Limits of New Derivative Securities Produ	ict (if applicable):	
Not Applicable	Execution	
Part II	Execution	
The undersigned represents that the governing body of the abo	ve-referenced Self-Regulatory Organization h	as duly approved, or has duly delegated
approval to the undersigned for, the listing and trading of the a	bove-referenced new derivative securities pro	duct according to its relevant trading ru
procedures, surveillance programs and listing standards.		
Name of Official Responsible for Form:	Eric W Hess	
Title:	General Counse	el
Telephone Number:	201-942-8239	
Manual Signature of Official Responsible for Form:	- towns	······································
Date:	26-Jul-10	
	Act Securities I	Exchange Act of 1934
	Section 19b-4	
	<b>Rule</b> 19b-4(e)	
		0
	Availability: AUG 1	U 2010
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SECURITIES AND EXCHANGE COMMISSION

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## **DIVISION OF TRADING & MARKETS**

Aug. 9, 2010

Ms. Gail S. Jackson Paralegal Specialist U.S. Securities and Exchange Commission Division of Trading and Markets 100 F Street, NE Room 6628 Washington, D.C. 20549

Dear Ms. Jackson:

Please find enclosed three 19b-4(e) Forms for each EDGA Exchange, Inc. and EDGX Exchange, Inc., as well as the four required copies. Should you have any questions about these forms, please contact Jeffrey Rosenstrock directly at (201) 942-8295.

Sincerely,

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	AUG 1 0 2010	

Direct Edge, 545 Washington Boulevard, 6th Fl., Jersey City, NJ 07310 Tel 201.942.8220 Fax 201.557.8019 www.directedge.com