•1	the second se		041-093191
For Internal Use Only		Submit 1 Original	OMB APPROVAL
Sec File No. 9-	DEADUEA	and 4 copies	OMB Number: 3235-0504
	RECEIVED		Expires July 31, 2004
			Estimated average burder
7.	010 JUL 27 PM 4:3	0	hours per response 2.00
	6 <sup>1</sup> 6 1713 18 19 19 19 19 19		
	SEC / TM	UNITED STATES	
	SECURIT	IES AND EXCHANGE COMMISSION	
		WASHINGTON, D.C. 20549	
		AMENDED FORM 19b-4(e)	
			10047953
	-	Self-Regulatory Organization Listing and	
Deri		suant to Rule 19b-4(e) Under the Securities	
	READ ALL INSTRUC	CTIONS PRIOR TO COMPLETING	J FORM
Part I		Initial Listing Report	
Ų	atory Organization Listing	New Derivative Securities Product:	
EDGX Exchange, Inc			
• •		oduct (e.g., clearinghouse, broker-deale	er, corporation, etc.):
Eaton Vance Manageme			
3. Class of New Derivation 2. Class of New Deriv	ative Securities Product:		
Closed-End Fund			
4. Name of Underlying	g Instrument:		
		ed Floating-Rate Loans; EVG: Senior Loan	
Ohio Municipal Bonds; 🛛	EVP: Pennsylvania Municipal	Bonds; EVV: Senior Loans, Mortgage Bac	cked Securities, and Non-Investment
Ohio Municipal Bonds; Grade Bonds; EVY: Nev	EVP: Pennsylvania Municipal w York Municipal Bonds; EX	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: New 	EVP: Pennsylvania Municipal w York Municipal Bonds; EX	Bonds; EVV: Senior Loans, Mortgage Bac	cked Securities, and Non-Investment
Ohio Municipal Bonds; Grade Bonds; EVY: Nev 5. If Underlying Instru N/A	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base	cked Securities, and Non-Investment
Ohio Municipal Bonds; Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ment is an Index, State Wh f New Derivative Securities	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product:	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E	EVP: Pennsylvania Municipal w York Municipal Bonds; EX ment is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, 1	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD	cked Securities, and Non-Investment
Ohio Municipal Bonds; Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E	EVP: Pennsylvania Municipal w York Municipal Bonds; EX ment is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, 1	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product:	cked Securities, and Non-Investment
Ohio Municipal Bonds; Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities <u>EVM, EVN, EVO, EVP, EVV, I</u> Upon Which Securities Con	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM:	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, NA US; EVP: OTC US; EV	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, NA US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US;	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, NA US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US;	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable	EVP: Pennsylvania Municipal w York Municipal Bonds; EXJ ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, J Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; blogy of New Derivative Securities P	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable):	ed:
<ul> <li>Ohio Municipal Bonds; 1</li> <li>Grade Bonds; EVY: Nev</li> <li>5. If Underlying Instru</li> <li>N/A</li> <li>6. Ticker Symbol(s) of</li> <li>ETV, EVF, EVG, EVJ, E</li> <li>7. Market or Markets I</li> <li>ETV: AMEX, ARCA, NA</li> <li>US; EVP: OTC US; EV</li> <li>8. Settlement Methodo</li> <li>T+3, Physical Settlement</li> <li>9. Position Limits of N</li> </ul>	EVP: Pennsylvania Municipal w York Municipal Bonds; EXJ ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, J Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; blogy of New Derivative Securities P	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE	cked Securities, and Non-Investment
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigned	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; blogy of New Derivative Securities P I I Is that the governing body of the	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable):	cked Securities, and Non-Investment ed:
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigne procedures, surveillance p	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; Dology of New Derivative Securities P I I Is that the governing body of the ed for, the listing and trading of the rograms and listing standards.	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable): Execution	cked Securities, and Non-Investment ed: : : : : : : : : : : : : : : : : :
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, NA US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigned procedures, surveillance p Name of Official Resp	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; Dology of New Derivative Securities P I I Is that the governing body of the ed for, the listing and trading of the rograms and listing standards.	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable): Execution eabove-referenced Self-Regulatory Organizat the above-referenced new derivative securities	cked Securities, and Non-Investment ed:  OTC US; EVN: OTC US; EVO: OTC on has duly approved, or has duly delegate s product according to its relevant trading r S
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets U ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigned procedures, surveillance p Name of Official Resp Title:	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; Dology of New Derivative Securities P I I Is that the governing body of the ed for, the listing and trading of the rograms and listing standards.	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable): Execution e above-referenced Self-Regulatory Organizat the above-referenced new derivative securities Eric W Hest	cked Securities, and Non-Investment ed:  OTC US; EVN: OTC US; EVO: OTC on has duly approved, or has duly delegate s product according to its relevant trading r s unsel
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo T+3, Physical Settlement 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigne procedures, surveillance p Name of Official Resp Title: Telephone Number:	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, J Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; blogy of New Derivative Securities P I I ts that the governing body of the ed for, the listing and trading of the rograms and listing standards.	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable): Execution e above-referenced Self-Regulatory Organizat the above-referenced new derivative securities General Co 201-942-823	cked Securities, and Non-Investment ed:  OTC US; EVN: OTC US; EVO: OTC on has duly approved, or has duly delegate s product according to its relevant trading r s unsel
Ohio Municipal Bonds; 1 Grade Bonds; EVY: Nev 5. If Underlying Instru N/A 6. Ticker Symbol(s) of ETV, EVF, EVG, EVJ, E 7. Market or Markets V ETV: AMEX, ARCA, N/ US; EVP: OTC US; EV 8. Settlement Methodo <b>F+3, Physical Settlement</b> 9. Position Limits of N Not Applicable Part I The undersigned represent approval to the undersigne procedures, surveillance p Name of Official Resp Title: Telephone Number:	EVP: Pennsylvania Municipal w York Municipal Bonds; EXI ument is an Index, State Wh f New Derivative Securities CVM, EVN, EVO, EVP, EVV, I Upon Which Securities Con ASDAQ, NYSE; EVF: OTC U V: OTC US; EVY: OTC US; Dology of New Derivative Securities P I I Is that the governing body of the ed for, the listing and trading of the rograms and listing standards.	Bonds; EVV: Senior Loans, Mortgage Bac D: Government Bonds and Options ether it is Broad-Based or Narrow-base Product: EVY, EXD nprising Underlying Instrument Trades (S; EVG: OTC US; EVJ: OTC US; EVM: EXD: OTC US, CBOE curities Product: roduct (if applicable): Execution e above-referenced Self-Regulatory Organizat the above-referenced new derivative securities General Co 201-942-823	cked Securities, and Non-Investment ed: OTC US; EVN: OTC US; EVO: OTC ion has duly approved, or has duly delegate s product according to its relevant trading r s unsel

Section	195-4		-		
Rule	196-4(0)				
Public					
Availability:	нир	2	7	2010	



RECEIVED 2010 JUL 27 PM 4:25 SEC / TM

July 26, 2010

Ms. Gail S. Jackson Paralegal Specialist U.S. Securities and Exchange Commission Division of Trading and Markets 100 F Street, NE – Room 6628 Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	196-4	
Rule	19b-4(e)	
Public Availability:	JUL 27 2010	

Direct Edge ECN LLC, 545 Washington Boulevard, Jersey City, NJ 07310 Tel 201.942.8220 Fax 201.557.8019 www.directedge.com