SECURITIES AND EXCITATION COMMITTEE REALER JUL 1 5 2010 <u>Ì 1-088174</u>r **DIVISION OF TRADING & MARKETS** For Internal Use Only Submit 1 Original Sec File No. 9-OMB APPROVAL and 9 Copies UNITED STATES OMB Number: 3235-0504 Expires: August 31, 2010 SECURITIES AND EXCHANGE COMMISSION Estimated average burden Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FO** Part I **Initial Listing Report** Name of Self-Regulatory Organization Trading New Derivative Securities Product: 1. National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. Equity Name of Underlying Instrument: 4. INDXX India Small Cap Index If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-Based Ticker Symbol(s) of New Derivative Securities Product: 6. SCIN 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: India equities markets Settlement Methodology of New Derivative Securities Product: 8. cash Position Limits of New Derivative Securities Product (if applicable): 9. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs. Name of Official Responsible for Form: James C. Yong Securities Exchange Act of 1934 Act Title: Chief Regulatory Officer Section 19b-4 Telephone Number: (312) 786-8893 Rule 19b-4(e) Public JUL 1 5 2010 Manual Signature of Official Responsible for Form: Availabili Date: July 9, 2010



James C. Yong Chief Regulatory Officer james.yong@nsx.com

## SECURITIES AND EXCHANCE COMMISSION

JUL 1 5 2010

**DIVISION OF TRADING & MARKETS** 

July 9, 2010

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

1. BRAZ		7.	BRAQ
2. SBND		8.	MLPL
3. LBND		9.	SCIN
4. EXD			
5. DFF	•		
6. SNK	2		

Please contact me if you have any questions on the enclosed materials.

Very truly yours

ActSecurities Exchange Act of 1934Section19b-4Rule19b-4(e)PublicJUL 1 5 2010

One Financial Place 440 South LaSalle Street Suite 2600 Chicago Illinois 60605 Phone 312.786.8893 Fax 312.939.7239 www.nsx.com

Encls.