			091-068027
or Internal Use Only		Submit 1 Original	OMB Approval No.:
ec File No. 91 -		and 9 Copies	Expires: RECEIVED
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		UNITED STATES	2009 NOV -9 PM 1:41
	SECUR	ITIES AND EXCHANGE C	
		Washington, D.C. 20549 FORM 19b-4(e)	SEC / TM
Infor	motion Dogwingd	of a Self-Regulatory Organization	
Derivative S	ecurities Product I	Pursuant to Rule 19b-4(e) Under	r the Securities Exchange Act of 1934
		STRUCTIONS PRIOR TO CO	
art I		Initial Listing Report	
Name of Self-Regulatory Org	anization Listing N	ew Derivative Securities Product:	I A A A A A A A A A A A A A A A A A A A
YSE Arca, Inc.			
. Type of Issuer of New Deriva	tive Securities Proc	luct (e.g., clearinghouse, broker-d	ealer, corporation, et 10045416
Corporation		· · ·	
. Class of New Derivative Secu	rities Product:		
ndex-Linked Securities		· · · · · · · · · · · · · · · · · · ·	
Name of Underlying Instrum	ent:		
&P 500 Index			
If Underlying Instrument is a	Index. State When	ther it is Broad-Based or Narrow-	Based:
Broad Based			
. Ticker Symbol(s) of New De	ivative Securities I	Product	
KN	Tvative Securities I	Toduct.	
Assdaq Stock Market; Nev . Settlement Methodology of N	v York Stock Ex		
Regular way trades settle o	n T+3/Book enti	ry only held in DTC.	
. Position Limits of New Deriv	ative Securities Pro	oduct (if applicable):	
Not applicable.		· · · · · · · · · · · · ·	
Part II	4	Execution	gulatory Organization has duly approved, or has duly
the undersigned represents that lelegated its approval to the un- elevant trading rules, procedur	lersigned for, the li	sting and trading of the above-refe	erenced new derivative securities product according to its
Name of Official Responsible f	or Form:		
anet M. Kissane			
Fitle:		· ·	
Telephone Number:			
Telephone Number: 212) 656-2039			
elephone Number: 212) 656-2039	esponsible for Form	Quet Mtim	ve
elephone Number: 212) 656-2039 Manual Signature of Official R	esponsible for Form	June mpim	ve
Telephone Number: 212) 656-2039 Manual Signature of Official R November 6, 2009	esponsible for Form	June mpim	we
Telephone Number: 212) 656-2039 Manual Signature of Official R November 6, 2009		Change Act of 1934	we
Telephone Number: 212) 656-2039 Manual Signature of Official R November 6, 2009 EC 2449 (1/99) Act	Securities Exe	June Mikisn	
Telephone Number: 212) 656-2039 Manual Signature of Official R November 6, 2009 EC 2449 (1/99) Act Section	Securities Exc 19b-4	June Mikisn	NC
Section Rule Public	Securities Exe	June Mikisn	

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5 5 **Janet Kissane** Corporate Secretary



NYSE Arca, Inc. 11 Wall Street New York, NRECEIVED tel: 212.656.2039 fax: 2005 NO3939 PM 1: 40 jkissane@nyx.com SEC / TM

Via Overnight Mail

November 6, 2009

Ms. Gail Jackson US Securities and Exchange Commission Division of Trading and Markets Station Place – Building I 100 F Street, N.E. – Room 6628 Washington, DC 20549

Re: Form 19b-4(e) - Bank of America / UBS AG

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- Market-Linked Step Up Return Notes linked to the Russell 2000 Index due October 28, 2011 (Ticker Symbol RIH)
- Market-Linked Step Up Return Notes linked to the S&P 500 Index due October 28, 2011 (Ticker Symbol SKN)
- UBS AG E-Tracs linked to the Dow Jones-UBS Commodity Index Return due October 31, 2039 (Ticker Symbol DJCI)

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely, Juit Mamme Enclosures

Cc:

Tim Malinowski (NYSE Euronext)

Act	Securities Exchange Act of 1934			
Section				
Rule	19b-4(e)			
Public Availability:	NOV 9 2006			