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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, C. 20549

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#### ANNUAL AUDITED REPORT FORM X-17A-5 PART III

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

EPORT FOR THE PERIOD BEGINNING_		ENDING_10/	<u>3172009</u> MM/DD/YY
	MM/DD/11		
_ A. REC	SISTRANT IDENTIFICATION		
AME OF BROKER-DEALER: ANAN FIRST CALIFORNIA SECUR DDRESS OF PRINCIPAL PLACE OF BUS	den GABOA & CO., INC ITIF.S- INESS: (Do not use P.O. Box No.)	D B A	FIRM I.D. NO.
1765 SCOTT BLVD, STE 2	206		
SHAREY R. ROBERTS Commission # 1790366	(No. and Street)		95050
(vinceronientio County	(State)	(Zi	p Code)
AME AND TELEPHONE NUMBER OF P	ERSON TO CONTACT IN REGARD		
ALEXANDER GABOR		(	Area Code - Telephone Numb
B ACC	COUNTANT IDENTIFICATIO	N	
NDEPENDENT PUBLIC ACCOUNTANT PHILLIP V. GEORGE, PL			
PHILLIP V. GEORGE, PL	LC . (Name – if individual, state last, first, middle		75022
PHILLIP V. GEORGE, PL	LC.	TX	HANGE COMMISSION
PHILLIP V. GEORGE, PL 4421 WANDA LANE (Address)	LC . (Name – if individual, state last, first, middle FLOWER MOUND	TX	TOY
PHILLIP V. GEORGE, PL 4421 WANDA LANE (Address)	LC . (Name – if individual, state last, first, middle FLOWER MOUND	r name) TX BURIT <del>RS</del> 'AN <b>R</b> ER	CHANGE COMM(SSION(do))
PHILLIP V. GEORGE, PL 4421 WANDA LANE (Address) CHECK ONE:	LC . (Name – if individual, state last, first, middle FLOWER MOUND	TX URITHS'AN FEB	0 4 2010
4421 WANDA LANE (Address) CHECK ONE: CHECK ONE: Public Accountant Public Accountant	LC . (Name – if individual, state last, first, middle FLOWER MOUND	TX SURITIES AN FEB BRANCH OF	CHANGE COMM(SSION de)

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of the information contained in this form are not required to respond to unless the form displays a currently valid OMB control number. Sell Walker and HONGOWN SEC 1410 (06-02) SIVE! ISTSTRATION N8NS

#### OATH OR AFFIRMATION

<pre>my knowledge and belief the accompanying financial statement' and supporting schedules pertaining to the firm of FIRST CALLFORNIA SECURITIES of</pre>	I, ALEXANDER GABOR	, swear (or affirm) that, to the best of
of		
under own name, classified_as customers         Junce         Junce         Signature         PRESIDENT         This report ** contains (check all applicable boxes):         (a) Facing Page.         (b) Statement of Financial Condition.         (c) Statement of Changes in Financial Condition.         (c) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (c) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (c) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (c) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (c) Statement of Changes in Liabilities Subordinated to Clains of Creditors.         (c) Outputation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.         (c) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.         (c) A Reconcilitation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.         (c) An Oath or Affirmation.         (d) A copy of the SIPC Supplemental Report.         (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.	of <u>October 31</u> , 20 ( neither the company nor any partner, proprietor, principal of classified solely as that of a customer, except as follows:	<u>09</u> , are true and correct. I further swear (or affirm) that ficer or director has any proprietary interest in any account
Hursdan Cuber     Signature     PRESIDENT     Title     This report ** contains (check all applicable boxes):     (a) Facing Page.     (b) Statement of Financial Condition.     (c) Statement of Financial Condition.     (d) Statement of Changes in Financial Condition.     (e) Statement of Changes in Financial Condition.     (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (f) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.     (g) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.     (i) Information, including appropriate explanation of the Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Rule 15c3-3.     (j) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.     (j) An Oath or Affirmation.     (j) An Oath or Affirmation.     (j) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.	The company and directors trac	de their own account, registered
Signature         PRESIDENT         This report ** contains (check all applicable boxes):         (a) Facing Page.         (b) Statement of Financial Condition.         (c) Statement of Income (Loss).         (d) Statement of Changes in Financial Condition.         (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.         (g) Computation of Net Capital.         (h) Computation of Net Capital.         (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.         (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.         (i) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Rule 15c3-3.         (i) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.         (ii) An Oath or Affirmation.         (iii) A copy of the SIPC Supplemental Report.         (iii) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.	under own name, classified as	customers
Signature         PRESIDENT         This report ** contains (check all applicable boxes):         (a) Facing Page.         (b) Statement of Financial Condition.         (c) Statement of Income (Loss).         (d) Statement of Changes in Financial Condition.         (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.         (g) Computation of Net Capital.         (h) Computation of Net Capital.         (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.         (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.         (i) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Rule 15c3-3.         (i) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.         (ii) An Oath or Affirmation.         (iii) A copy of the SIPC Supplemental Report.         (iii) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.		
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Title	• • • • • • • • • • • • • • • • • • •	Signature
Mutury Andrews         Notary Fublic         This report ** contains (check all applicable boxes):         (a) Facing Page:         (b) Statement of Financial Condition.         (c) Statement of Income (Loss).         (d) Statement of Changes in Financial Condition.         (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.         (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.         (g) Computation of Net Capital.         (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.         (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.         (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.         (l) An Oath or Affirmation.         (m) A copy of the SIPC Supplemental Report.         (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.		PRESIDENT
	<ul> <li>This report ** contains (check all applicable boxes):</li> <li>(a) Facing Page.</li> <li>(b) Statement of Financial Condition.</li> <li>(c) Statement of Income (Loss).</li> <li>(d) Statement of Changes in Financial Condition.</li> <li>(e) Statement of Changes in Stockholders' Equity or Par</li> <li>(f) Statement of Changes in Liabilities Subordinated to 6</li> <li>(g) Computation of Net Capital.</li> <li>(h) Computation for Determination of Reserve Requirem</li> <li>(i) Information Relating to the Possession or Control Re</li> <li>(j) A Reconciliation, including appropriate explanation of Computation for Determination of the Reserve Requirem</li> <li>(k) A Reconciliation between the audited and unaudited consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report.</li> <li>(n) A report describing any material inadequacies found to four the construction of the construction for the construction for the construction for the construction for the construction fon the construction for the construc</li></ul>	Shiftley R. ROBERTS Commission # 1790360 Notary Public - California Lacramento County MyConvn. Biplies Feb 2, 2012 Theres' or Sole Proprietors' Capital. Claims of Creditors. Thents Pursuant to Rule 15c3-3. Equirements Under Rule 15c3-3. Of the Computation of Net Capital Under Rule 15c3-1 and the irements Under Exhibit A of Rule 15c3-3. Statements of Financial Condition with respect to methods of

#### ALEXANDER GABOR & CO., INC. DBA FIRST CALIFORNIA SECURITIES Statement of Financial Condition October 31, 2009

### ASSETS Cash and cash equivalents Commissions receivable from clearing broker/dealer Clearing deposit \$ 161,302

TOTAL ASSETS

### LIABILITIES AND STOCKHOLDERS' EQUITY

Liabilities

		\$ 1,275
Payable-to clearing broker/dealer		5,000
Accrued expenses		-
	· · ·	 ¢ 6275

Stockholders' Equity

Common stock, \$0.01 par value, 6,500,000 shares authorized, issued and outstanding Additional paid-in capital Accumulated deficit	65,000 159,000 -(68,973)
	155,027
TOTAL STOCKHOLDERS' EQUITY TOTAL LIABILITIES AND STOCKHOLDERS' EQUITY	<b>\$</b> 161,302

## AUDITED

# FINANCIAL CONDITION

OF

FIRST CALIFORNIA SECURITIES

AS OF

OCT. 31,2009.