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DIVISION OF MARKET REGULATION



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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

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8/8/11

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**Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/09 AND ENDING 12/31/09
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: HARDT GROUP Investor Services, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

99 Park Avenue, Suite 1550

OFFICIAL USE ONLY
FIRM I.D. NO.

(No. and Street)

New York

NY

10016

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Jim Williams 415-492-8975

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Moore Stephens, PC

(Name - if individual, state last, first, middle name)

546 Fifth Avenue

New York

NY

10036

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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U.S. SECURITIES AND EXCHANGE COMMISSION
NEW YORK REGIONAL OFFICE
INSPECTION PROGRAM

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

I, Jeff Landle, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of HARDT Group Investor Services LLC, as of December 31, 2009, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

RACHEL N. SCHATTEN
NOTARY PUBLIC, State of New York
No. 0280005506
Qualified in New York County
Commission Expires Oct. 6, 2011

Signature
President of HARDT Group Advisors, Inc (100% owner of HGIS, LLC)
Title

Notary Public

This report ** contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

MATTHEWS & CO, LLP

Certified Public Accountants

270 Madison Avenue, 16th Floor
New York, New York 10016
Telephone (212) 293-5100
Fax (212) 293-5560

INDEPENDENT AUDITORS' REPORT

To the Members' of
HARDT GROUP Investor Services, LLC

We have audited the accompanying statement of financial condition of HARDT GROUP Investor Services, LLC (a limited liability company) as of December 31, 2009. This financial statement is the responsibility of the Company's management. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by the management as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the statement of financial condition referred to above presents fairly, in all material respects, the financial position of HARDT GROUP Investor Services, LLC (a limited liability company) as of December 31, 2009 in conformity with accounting principles generally accepted in the United States of America.

Matthews & Co LLP

January 19, 2010

HARDT GROUP INVESTOR SERVICES, LLC
Statement of Financial Condition

December 31, 2009

ASSETS

Cash	\$ 14,574
Other assets	<u>990</u>
Total assets	<u>\$ 15,564</u>

LIABILITIES AND MEMBERS EQUITY

Liabilities:	
Accounts payable and accrued expenses	\$ 5,888
Members' equity	<u>9,676</u>
Total liabilities and members' equity	<u>\$ 15,564</u>

See auditor's report

HARDT GROUP INVESTOR SERVICES, LLC
Notes to Financial Statement

3

December 31, 2009

1. Organization and Nature of Business

HARDT GROUP Investor Services, LLC (the "Company"), was organized in the state of New York on January 23, 2007, with an office located in New York City. The Company is 100% owned by HARDT GROUP Advisors, Inc. The Company is a broker-dealer registered with the Securities and Exchange Commission and the Financial Industry Regulatory Authority, Inc. and was organized as a limited liability company principally to conduct the business of trading in options and other marketable securities.

2. Summary of Significant Accounting Policies

Securities Transactions

The Company records income from proprietary securities transactions and the related revenue and expenses on a trade-date basis.

Securities owned and options written are stated at quoted market values with the resulting unrealized gains and losses reflected in income.

Basis of Accounting

The accompanying financial statements have been prepared on the accrual basis in accordance with generally accepted accounting principles.

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Concentrations of Credit Risk

The Company maintains cash in bank deposit accounts which, at times, may exceed federally insured limits. The Company has not experienced any losses in such accounts.

Income Taxes

No provision for federal, state and local income taxes has been made since the Company is a disregarded entity for tax purposes because it is a single member limited liability company.

(Continued)

HARDT GROUP INVESTOR SERVICES, LLC
Notes to Financial Statement

December 31, 2009

3 Net Capital Requirement

As a registered broker-dealer, the Company is subject to the Uniform Net Capital Rule 15c3-1 of the Securities and Exchange Commission, which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1. As of December 31, 2009, the Company had net capital of \$8,686, which exceeded its requirement of \$5,000 by \$3,686. The Company's aggregate indebtedness to net capital ratio was .47 to 1.