Form G-FIN

SECURITIES AND EXCHANGE COMMISSION RECEIVED

DEC 2 9 2010



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0FFICIAL USE: 11-00383

02 EXAM

Notice of Government Securities Broker or Government Securities Dealer Activities To Be Filed by a Financial Institution Under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

1.	Che	ck appropriate regulatory agency (ARA):	2. Conducts business as:					
	A. [☐ Comptroller of the Currency	A. Government Securities Broker					
	в. [Board of Governors of the Federal Reserve System	B. Government Securities Dealer					
	C.X	-	C.XXX Government Securities Broker and Dealer					
	D. [
	E. [Securities and Exchange Commission	3. Filing status of notice:					
	L. L	_ Coodinos and Exchange Commission						
			A. Notice					
			B. XXI Amendment ADDRESS CHANGE					
4.	A.	Full name of the financial institution:						
		Bank of the West						

	B.	Address of principal office of financial institution:						
		180 Montgomery Street, San Francisco	o, CA 94104					
	C. D.	Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)): 2527 Camino Ramon, San Ramon, CA 94583 Mailing address if different from (B) or (C): Same as above 4 (c)						
		Same as above 4 (c)						
	E.	Name, title and telephone number of contact person with	th respect to this notice:					
		Amy Chan Perm & C	Control 925-843-8409					
	N	Name Title	Telephone					
5.	loca	oes financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any cation other than given in Question 4 above? A. Yes B. No yes, provide addresses and describe activities.) Capital Markets						
		Oapital Markets						
	3	300 S. Grand Ave						
		A, CA 90071						
	Ľ	well Drive, Concord, CA 94520						

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Full Name				
	*** See .	Attached Document ***		
Last	First	Middle		Title
Last	First	Middle		Title
Last	First	Middle	<u></u>	Title
Last	First	Middle		Title
Last	First	Middle		Title
Note: Attach a se	eparate Form G-FIN-	4 (or, if previously filed, a copy of F	form MSD-4 or Form	n U-4) for each person name
Has any "associa of Form G-FIN-4,	ited person" (see def or "yes" to one or mo	inition in paragraph A.7. of the inst re questions in Items 23 through 26 o	ructions) responded of Form MSD-4 or Iter	"yes" to any question in Iter n 22 on Form U-4?
Has any "associa of Form G-FIN-4,	ted person" (see def or "yes" to one or mo	inition in paragraph A.7. of the inst re questions in Items 23 through 26 o A. Yes	ructions) responded of Form MSD-4 or Iter	"yes" to any question in Iter π 22 on Form U-4?
of Form G-FIN-4, Note: The financiers of any associ	or "yes" to one or mo ial institution and the iated person during	re questions in Items 23 through 26 o	If Form MSD-4 or Iter B. XX No sponsible for making pars for the purpose	n 22 on Form U-4? Ing an inquiry of all other emples of verifying the accuracy o
Note: The financiers of any associationmation furniform U-4.	or "yes" to one or mo ial institution and the iated person during ished on Form G-FII	re questions in Items 23 through 26 o A. Yes person executing this form are re the immediately preceding three y	of Form MSD-4 or Iter B. XX No sponsible for making ears for the purpose ar requirements are	n 22 on Form U-4? ng an inquiry of all other emple of verifying the accuracy of applicable to Form MSD-4
of Form G-FIN-4, Note: The financiers of any associationmation furniform U-4. The financial insherein is true, cu	or "yes" to one or mo ial institution and the iated person during ished on Form G-Fli	A. Yes A. Yes Person executing this form are rethe immediately preceding three yes. N-4. (See 17 C.F.R. 400.4(c).) Similaring the person executions.	of Form MSD-4 or Iter B. XX No sponsible for making ears for the purpose ar requirements are	n 22 on Form U-4? ng an inquiry of all other emple of verifying the accuracy of applicable to Form MSD-4
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6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial

G-FIN (attachment)

Full Name

Last	<u>First</u>	<u>Middle</u>	<u>Title</u>
Girton	Tani	R.	Treasurer
McMullen	Kenneth	C.	Assistant Treasuer
Stead	Michael	1.	Director of Capital Markets
Jogal	Amit	A.	Capital Market Invest. Sales Mgr.
Crow	Gary	L.	Investment Officer
Mallonee	Donal	C.	Treasury Officer
Sway	David	A.	Assistant Portfolio Manager
Goodeill	William	M.	Treasury Operations Manager ~ Sr.
Nicolau	Miguel	Α.	Treasury Operations Manager
Oh	Noeline	т.	Treasury Operations Supervisor
Banderas	Claudia	M.	Treasury Operations Supervisor
Moffat	Christopher	A.	FX Operations Manager