10032001		HANGECOMMISSION , D.C. 20549 ITED REPORT K-17A-5/A T III S PAGE	OMB APPROVAL OMB Number: 3235-012 Expires: April 30, 20 Estimated average burden hours per response12.0 SEC FILE NUMBE 8-23518
	ities Exchange Act of 193	34 and Rule 17a-5 Tl	nereunder
	A. REGISTRANT ID	ENTIFICATION	
NAME OF BROKER-DEALER ADDRESS OF PRINCIPAL PLA 378 SOU	ACE OF BUSINESS: (Do not HACUSTER B	use P.O. Box No.) 24 Rd	, IMC . OFFICIAL USE ONLY TISH FIRM I.D. NO.
Hicksville	(No. and 	Streed State)	[180] (Zip Code)
NAME AND TELEPHONE NUI	MBER OF PERSON TO CONT	FACT IN REGARD TO T	HIS REPORT 16 - 631 - 1090 (Area Code - Telephone Numbe
	B. ACCOUNTANT ID	ENTIFICATION	
INDEPENDENT PUBLIC ACCO LOUISC (04 FUITON St (Address)	e Steliano.	Add KIS state last, first, middle name) KNK N	<u>10038</u> (State) (Zip Code)
CHECK ONE: Certified Public Ad Public Accountant Accountant not res		f its possessions.	SECURITIES AND EXCHANGE COMMISSI RECEIVED SEP 272010
	FOR OFFICIAL	USE ONLY	BRANCH OF REGISTRATIONS AND 04 EXAMINATIONS

RW

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

OATH OR AFFIRMATION

, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Inc. Partito __, as 30 20 \bigwedge , are true and correct. I further swear (or affirm) that of neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account

classified solely as that of a customer, except as follows:

This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- □ (c) Statement of Income (Loss).
- □ (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- □ (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.

MELINDA A. KAUFMAN Notary Public, State of New York

Qualified in Nassau County No. 01KA6226430

My Commission Expires Aug. 2, 2

- \Box (1) An Oath or Affirmation.
- \square (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

SIPC-6 (28-REV 3/09) G	ieneral Assess half of the fiscal he instructions in you ERS OF THE SE xamining Authority ule 17a-5: TCS_INC PBAY Re IISO I BAY Re IISO I C CXT e first half of the fi an \$150 minimum) SIPC-4 made in Ja anuary, February, (see instruction E)	Note: If any of the im requires correction, f form@sipc.org and s Name and telephone respecting this form.	BER 31, 20 09 pleting this Form) PROTECTION COR 0. and month in which please e-mail any col- to indicate on the form a number of person the sin 2009	C Mail Processing Sections to Mued 0 5 2010
 Name of Member, address, Designated Epurposes of the audit requirement of SEC R BENDMIN SECURD 348 S. OGSEV HICKSVILE, NULLES S. OGSEV HICKSVILE, NULLES J. BENJ 516-931-109 A. General assessment payment for the (item 2e from page 2 but not less th 1. Less payment of \$150 made with (For all fiscal year ends except J. Date Paid Assessment balance due Interest computed on late payment Total assessment and interest due PAID WITH THIS FORM: Check enclosed, payable to SIPC Total (must be same as C above) Subsidiaries (S) and predecessors (P) is 	xamining Authority ule 17a-5: TES, INC PBAY Re 1 1180 1 2 MMM 0 EXT 1 0 EXT 1 0 EXT 1 150 minimum) SIPC-4 made in Ja anuary, February, (see instruction E)	Note: If any of the im requires correction, f form@sipc.org and s Name and telephone respecting this form.	o, and month in which SE formation shown on I please e-mail any co io indicate on the for number of person t	C Mail Processing Sections to Nued.0 5 2010 Vashington, DC 110 367.77 15.54
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Check enclosed, payable to SIPC Total (must be same as C above) 3. Subsidiaries (S) and predecessors (P) i The SIPC member submitting this form an person by whom it is executed represent t that all information contained herein is tru and complete.	ncluded in this for	S 1024 A		
The SIPC member submitting this form an person by whom it is executed represent t that all information contained herein is truand complete.	included in this for	1024 A		
person by whom it is executed represent t that all information contained herein is tru and complete.		m (give name and 1934 A	Act registration numb	er).
person by whom it is executed represent t that all information contained herein is tru and complete.		: 		
and complete.	(neredy	fName o	of Corporation, Parimetship of d	iher organization)
Dated the day of			(Authorized Signature)
	_, 20		(Tille)	
This form and the assessment payment Working Copy of this form for a period	is due 30 days af of not less than f	fter the end of the first 6 years, the latest 2 yea	six months of the fi urs in an easily acce	scal year. Retain the ssible place.
Dates: Postmarked Receiv Calculations	ed Revi	ewed		
Calculations	Docu	umentation		Forward Copy
			,	
Disposition of exceptions:				

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S	IPC-7	SECURITIES IN P.O. Box	92185 Wa	PROTECTION CC shington, D.C. 20090	ORPORATIC -2185) N	SIPC-7
(32-	-REV 6/10)	Gener	ral Asses	sment Reconciliati	on		(32-REV 6/10)
C	OPY,	(Read carefully the instr		ed <u> </u>			L
1. Na purpe		s, Designated Examinir	a Authority.	1934 Act registration r			year ends for
	023518 FINRA BENJAMIN SECURI 378 S OYSTER BAY HICKSVILLE NY 118 LEOJ. B 576-G31-	TIES INC RD	104	Note: If any of the in requires correction, form@sipc.org and s Name and telephone respecting this form	please e-mail a so indicate on t number of per	any corrections he form filed.	ng label to
2. A.	General Assessment	item 2e from page 2 (r	ot less than	\$150 minimum)]		5574	1,70
Β.	Less payment made wi March といい	h SIPC-6 filed (exclude	interest)		(367	,77)
C.	Date Paid Less prior overpaymen	at applied			1		1
D.					(50	6,93
E.						0.5	//
•				rdays at 20% pe	r annum		
	Total assessment bala PAID WITH THIS FOR Check enclosed, paya Total (must be same a	M: ble to SIPC	or overpaym	s 206.93	\$)	
Н.	Overpayment carried f	orward		\$()		
3 Sut	osidiaries (S) and prede	cessors (P) included ir	n this form (give name and 1934 Ac	t registration nu	umber):	
persor that al	IPC member submitting 1 by whom it is executed 1 information contained	f represent thereby					
and co	omplete.			(Name of Co	rporation, Partnership	or other organization)
Dated	theday of	, 20	· .			ature)	
This fo for a p	orm and the assessme period of not less than	nt payment is due 60 6 years, the latest 2	days after 1 years in an	the end of the fiscal yo easily accessible plac	(Title) ear. Retain the ce.	e Working Cop	y of this form
E Da	ates:					- 	<u></u>
EVIEWER 20	Postmarked	Received	Reviewed	1			
£	alculations		Documen	tation		Forward	Сору
S al	ceptions:						
S Di	isposition of exceptions	:					

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DETERMINATION OF "SIPC NET OPERATING REVENUES" AND GENERAL ASSESSMENT

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		Amounts for the fiscal period beginning 71, 2029 and ending 632, 2010 Eliminate cents
Item No. 2a. Total revenue (FOCUS Line 12/Part IIA Line 9, Code 4030)		\$ 488418
 2b. Additions: (1) Total revenues from the securities business of subsidiaries (e predecessors not included above. 	xcept foreign subsidiaries) and	
(2) Net loss from principal transactions in securities in trading ac	counts.	
(3) Net loss from principal transactions in commodities in trading	accounts.	, <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>
(4) Interest and dividend expense deducted in determining item 2	a.	1998-1997-1997-1997-1997-1997-1997-1997-
(5) Net loss from management of or participation in the underwriti	ng or distribution of securities.	. <u></u>
(6) Expenses other than advertising, printing, registration fees an profit from management of or participation in underwriting or of		
(7) Net loss from securities in investment accounts.		<u></u>
Total additions		·
 2c. Deductions: (1) Revenues from the distribution of shares of a registered open investment trust, from the sale of variable annuities, from the advisory services rendered to registered investment companie accounts, and from transactions in security futures products. 	business of insurance, from investment	
(2) Revenues from commodity transactions.		
(3) Commissions, floor brokerage and clearance paid to other SIP securities transactions.	C members in connection with	98231
(4) Reimbursements for postage in connection with proxy solicitat	ion.	
(5) Net gain from securities in investment accounts.		3175
(6) 100% of commissions and markups earned from transactions in (ii) Treasury bills, bankers acceptances or commercial paper t from issuance date.		
(7) Direct expenses of printing advertising and legal fees incurred related to the securities business (revenue defined by Section		
(8) Other revenue not related either directly or indirectly to the se (See Instruction C):	curities business.	
		36133
(9) (i) Total interest and dividend expense (FOCUS Line 22/PART Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income.	IIA Line 13, \$	
(ii) 40% of margin interest earned on customers securities accounts (40% of FOCUS line 5, Code 3960).	\$	
Enter the greater of line (i) or (ii)		,
Total deductions		138539
2d. SIPC Net Operating Revenues	· · ·	s <u>349679</u>
2e. General Assessment @ .0025		\$ 8]4,70
	n	(to page 1 but not less than \$150 minimum)

80-90/926098 DOLLARS Ð 2fii£teO 3701 7/26/2010 **506.93 ξ AUTHORIZED SIGNATURE Ф Jur JPMORGAN CHASE BANK, N.A. NEW YORK, NEW YORK 10017 WWW.CHASE.COM 1-2-210 B90555885570P3 BENJAMIN SECURITIES, INC. 378 S. OYSTER BAY ROAD HICKSVILLE, NY 11801 Securities Investor Protection Corp. PAY TO THE ORDER OF MEMO 20-00/0/0000

"+003701" "10 21"

DETERMINATION OF "SIPC NET OPERATING REVENUES" AND GENERAL ASSESSMENT

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AND GENERAL	ASSESSMENT	Amounts for the (iscal period beginning07/01,2009 and ending <u>12/31</u> , 20 <u>09</u> Eliminate cents
		s 202329
llem No. 2a. Tolal revenue (FOCUS Line 12/Part IIA Line 9, Code 4030)		·
 2b. Additions: (1) Total revenues from the securities business of subsidiaries (excep predecessors not included above. 		
(2) Net loss from principal transactions in securities in trading account	tš.	
(3) Net loss from principal transactions in commodities in trading acco	punis.	
(4) Interest and dividend expense deducted in determining item 2a.		
(6) Not loss from management of or participation in the underwriting of	ar distribution of securities.	
 (6) Expenses other than advertising, printing, registration tees and le profit from management of or participation in underwriting or distr 	nal fees deducted in determining net	
(7) Net loss from securities in investment accounts.		202329
Total additions		
2c. Deductions: (1) Revenues from the distribution of shares of a registered open end investment trust, from the sale of variable annuities, from the bu- investment advisory services rendered to registered investment separate accounts and from transactions in security futures prod	companies or insurance company	
(2) Revenues from commodity transactions.		
 (3) Commissions, floor brokerage and clearance paid to other SIPC i securities transactions. 	nembers in connection with	32985
(4) Reimbursements for postage in connection with proxy solicitation). (7537
(5) Net gain from securities in investment accounts.		
 (6) 100% of commissions and markups earned from transactions in ((ii) Treasury bills, bankers acceptances or commercial paper that from issuance date. 		
(7) Direct expenses of printing, advertising and legal fees incurred in related to the securities business (revenue defined by Section 1)		
(8) Other revenue not related either directly or indirectly to the secu	urities business.	
(See Instruction C):		14700
(9) (i) Total interest and dividend expense (FOCUS Line 22/PART I	IA Line 13,	
Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income	\$	_
 (ii) 40% of interest earned on customers securities accounts (40% of FOCUS line 5, Code 3960) 	\$	-
Enter the greater of line (i) or (ii)		55222
Total deductions		
2d. SIPC Net Operating Revenues		s <u>147107</u> s <u>367.77</u>
2e. General Assessment @ .0025		(to page 1 but not less than
		\$150 minimum)

