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# ANNUAL AUDITED REPORT FORM X-17A-5 PART III

#### **FACING PAGE**

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING	11109	AND ENDING	12/3/109	
	MM/DD/YY		MM/DD/YY	
A. REG	ISTRANT IDENTIF	ICATION		
NAME OF BROKER-DEALER: 2 K N	Financial	Server Fr	OFFICIAL USE ON	
ADDRESS OF PRINCIPAL PLACE OF BUSI	NESS: (Do not use P.O.	Box No.	EXCHANGE COMMANIPED NO.	
	(No. and Street)		10/010	
(City) NAME AND TELEPHONE NUMBER OF PER	(State) RSON TO CONTACT IN	BRANCH BRANCH	OR BE CUST, RATIONS AND	
			(Area Code – Telephone Nun	
B. ACCO	DUNTANT IDENTII	FICATION		
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	,,,,,	, ywo, imaaic name)		
(Address)  CHECK ONE:	(City)	SECURITIES AND EN	CHANGE COMMISSION P Code)	
☐ Certified Public Accountant ☐ Public Accountant		JUN BRANCH OF R	1 2010 EGISTRATIONS	
Accountant not resident in Unite		sessions. Ar	ATIONS	
FOR OFFICIAL USE ONLY				

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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SEC 1410 (06-02)

SEC Mail Processing Section

JUN 0 1 2010

Washington, DC 110

#### EKN FINANCIAL SERVICES INC.

#### INDEPENDENT AUDITORS' REPORT

ON

## SCHEDULE OF ASSESSMENT AND PAYMENTS TO THE SECURITIES INVESTOR PROTECTION CORPORATION

FOR THE YEAR ENDED DECEMBER 31, 2009

#### LIEBMAN GOLDBERG & HYMOWITZ LLP

Certified Public Accountants

595 Stewart Avenue, Suite 420 Garden City, New York 11530

Tel (516) 228-6600 Fax (516) 228-6664

SEC Mail Processing Section

JUN 0 1 2010-

To the Board of Directors of EKN Financial Services Inc. 135 Crossways Park Drive - Suite 101 Woodbury, NY 11797

√Vashington, DC 110

In accordance with Rule 17-a-5(e)(4) under Securities Exchange Act of 1934, we have audited the Schedule of Assessment and Payments [Transitional Assessment Reconciliation (Form SIPC-7T)] to the Securities Investor Protection Corporation (SIPC) for the year ended December 31, 2009, solely to assist you in compliance with the applicable instructions of the Transitional Assessment Reconciliation (Form SIPC-7T). At December 31, 2009, the Company was in violation for not filing the required form. EKN's management is responsible for EKN's compliance with those requirements. Our audit was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The procedures we performed and our findings are as follows:

- 1. Compared the listed assessment payments in Form SIPC-7T with respective cash disbursement records entries and cancelled checks noting no differences.
- 2. Compared the amounts reported on the audited FormX-17A-5 for the year ended December 31, 2009, as applicable, with the amounts reported in Form SIPC-7T for the year ended December 31, 2009 (see item 4 below).
- 3. Compared any adjustments reported in Form SIPC-7T with supporting schedules and working papers noting no differences.
- 4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7T and in the related schedules and working papers and noted a mathematical error when the Company inadvertently listed clearance fees deducted at \$3,000 higher that it should have. The above error resulted in an underpayment of approximately seven dollars (management was informed of the above).
- 5. Payment schedule attached.

This report is intended solely for the information and use of Management Financial Industry Regulatory Authority, Inc. SIPC and the Securities and Exchange Commission and is not intended to be and should not be used by anyone other than these specified parties)

Feetmen Golders & Hymowrtz MP May 13, 2010

### PAYMENT SCHEDULE

<b>DATE</b>	PAID TO	<b>AMOUNT</b>	MAILED TO:
5/7/10	SIPC	\$7,439.00	P.O. Box 92185 Washington, DC 20090-2185
11/24/09	SIPC	\$1,934.38	P.O. Box 92185 Washington, DC 20090-2815