

UNITEDSTATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL AUDITED REPORT **FORM X-17A-5** PART III

OMB APPROVAL

OMB Number: 3235-0123 Expires: February 28, 2010 Estimated average burden hours per response.....12.00

> SEC FILE NUMBER **8-** 46627

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING	$\frac{01/01/09}{12/31/09}$ AND ENDING $\frac{12/31/09}{12/31/09}$				
	MM/DD/YY		MM/DD/YY		
A. RI	EGISTRANT IDENTIFICAT	ION			
NAME OF BROKER-DEALER: Brookwoo	od Securities Partners, L.P.	0	FFICIAL USE ONLY		
ADDRESS OF PRINCIPAL PLACE OF B	USINESS: (Do not use P.O. Box N	0.)	FIRM I.D. NO.		
72 Cherry Hill Drive	·				
	(No. and Street)				
Beverly	Massachusetts	01915			
(City)	(State)	(Zip Co	de)		
NAME AND TELEPHONE NUMBER OF Joyce M. Moore	PERSON TO CONTACT IN REGA	(978)	927-8300		
	CCOUNTANT IDENTIFICAT		Code - Telephone Number		
INDEPENDENT PUBLIC ACCOUNTANT BDO Seidman, LLP	· · · · · · · · · · · · · · · · · · ·				
	(Name - if individual, state last, first, n	niddle name)			
150 Federal Street, 9th Floor	Boston	MA	02110		
(Address) CHECK ONE:	(City)	SECURITIES AND EXCH RECE			
Certified Public Accountant		APR 0 &	2010		
☐ Public Accountant			·		
☐ Accountant not resident in United States or any of its possessions.		ns. BRANCH OF RE AN 02 EXAMINA	D		
FOR OFFICIAL USE ONLY					

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

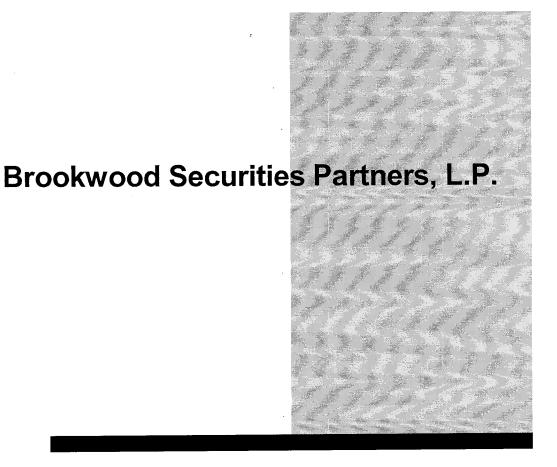


Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

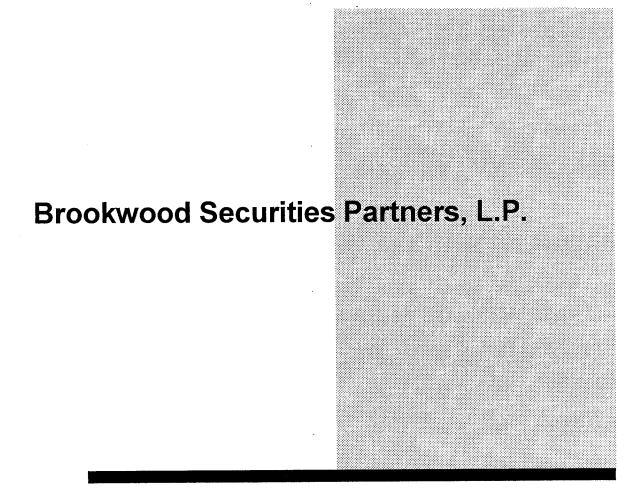
I, Joyce M	M. Moore	, swear (or affirm) that, to the best of
	ledge and belief the accompanying financial statem od Securities Partners, L.P.	ent and supporting schedules pertaining to the firm of
of Decem		, as , are true and correct. I further swear (or affirm) that
		fficer or director has any proprietary interest in any account
	I solely as that of a customer, except as follows:	frices of uncetor has any proprietary interest in any account
N/A	•	
		Joyce M Mone Signature
		Chief Financial Officer
		Title
1 ju	Notary Public	
This repo	ort ** contains (check all applicable boxes):	
	Facing Page.	
` ′	Statement of Financial Condition.	
	Statement of Income (Loss).	
	Statement of Changes in Financial Condition.	dunnal and Cala Danamistana' Camital
	Statement of Changes in Stockholders' Equity or Pa	
	Statement of Changes in Liabilities Subordinated to Computation of Net Capital.	Ciamis of Creditors.
	Computation of Net Capital. Computation for Determination of Reserve Requires	ments Pursuant to Rule 15c3-3.
	information Relating to the Possession or Control R	
(i) A	A Reconciliation, including appropriate explanation	of the Computation of Net Capital Under Rule 15c3-1 and the
	Computation for Determination of the Reserve Requ	
□ (k) A	A Reconciliation between the audited and unaudited	Statements of Financial Condition with respect to methods of
	consolidation.	
` '	An Oath or Affirmation.	
	A copy of the SIPC Supplemental Report.	
⊔ (n) A	A report describing any material inadequacies found t	o exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



Statements of Financial Condition December 31, 2009 and 2008



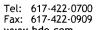


Statements of Financial Condition December 31, 2009 and 2008

Brookwood Securities Partners, L.P.

Statements of Financial Condition

December 31,	2009	2008
Assets		
Cash and cash equivalents	\$178,359	\$485,380
Due from affiliates	-	293,645
Prepaid expenses and other assets	21,083	25,439
Total assets	\$199,442	\$804,464
Liabilities and Partners' Equity		
Commissions payable	\$ 7,048	\$ 78,456
Due to affiliates	3,672	11,409
Accounts payable and accrued expenses	24,615	38,842
Total liabilities	35,335	128,707
Partners' equity:		1
General partner	1,641	6,758
Limited partner	162,466	668,999
Total partners' equity	164,107	675,757
Total liabilities and partners' equity	\$199,442	\$804,464



100 High Street, Suite 900 Boston, MA 02110

Independent Accountants' Report on Applying Agreed-Upon Procedures Related to an Entity's SIPC Assessment Reconciliation

To the Partners of Brookwood Securities Partners, L.P. Beverly, Massachusetts

In accordance with Rule 17a-5(e)(4) under the Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the Transitional Assessment Reconciliation ("Form SIPC-7T") to the Securities Investor Protection Corporation ("SIPC") for the nine months ended December 31, 2009, which were agreed to by Brookwood Securities Partners, L.P. and the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc., SIPC, solely to assist you and the other specified parties in evaluating Brookwood Securities Partners, L.P.'s compliance with the applicable instructions of the Form SIPC-7T. Brookwood Securities Partners, L.P.'s management is responsible for Brookwood Securities Partners, L.P.'s compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

- 1. Compared the listed assessment payments in Form SIPC-7T with respective cash disbursement records entries and copies of cancelled checks noting no differences:
- Compared the amounts reported on the Form X-17A-5 and quarterly 17a-5(a) for the period April 1, 2. 2009 through December 31, 2009, as applicable, with the amounts reported in Form SIPC-7T for the nine months ended December 31, 2009 noting no differences;
- Compared any adjustments reported in Form SIPC-7T with supporting schedules and working papers 3. noting no differences;
- Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7T and in the related 4. schedules and working papers supporting the adjustments noting no differences; and
- Compared the amount of any overpayment applied to the current assessment with the Form SIPC-7T 5. on which it was originally computed noting no differences.

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

Boston, Massachusetts March 25, 2010

BDO Seidmon, JSP

SIPC-7T

२9-REV 12/09)

SECURITIES INVESTOR PROTECTION CORPORATION 805 15th St. N.W. Suite 800, Washington, D.C. 20005-2215 202-371-8300

Transitional Assessment Reconciliation

(Read carefully the instructions in your Working Copy before completing this Form)

SIPC-7T (29-REV 12/09)

TO BE FILED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS

1. Na purp	me of Member, address, Designated Examining Authority, uses of the audit requirement of SEC Rule 17a-5:	1934 Act registration no. and month	in which liscal year ends for	
-	O46627 FINRA DEC requires correction, please form@sipc.org and so in BROOKWOOD SECURITIES PARTNERS LP 9*9 72 CHERRY HILL DR BEVERLY MA 01915-1030 Name and telephone number of the information of the information requires correction, please form@sipc.org and so in the information of the information requires correction, please form@sipc.org and so in the information requires correction, please formation requires correction, please formation requires correction, please formation requires correction requires re		nation shown on the mailing label use e-mail any corrections to dicate on the form filed.	
ı		respecting this form.		
L				
2. A	General Assessment [item 2e from page 2 (not less that	n \$150 minimum)]	\$ 150.00	
В	Less payment made with SIPC-6 filed including \$150 paid	with 2009 SIPC-4 (exclude interest)	(338,53	
С	Date Paid Less prior overpayment applied		(
	Assessment balance due or (overpayment)		(188,53)	
	Interest computed on late payment (see instruction E) f	ordays at 20% per annum		
F	Total assessment balance and interest due (or overpay)		\$ (188.53)	
G	PAID WITH THIS FORM: Check enclosed, payable to SIPC Total (must be same as F above)	\$		
Н	Overpayment carried forward	\$(18853)	
3. Sı	bsidiaries (S) and predecessors (P) Included in this form	(give name and 1934 Act registratio	n number):	
pers Ihat	SIPC member submitting this form and the on by whom it is executed represent thereby all information contained herein is true, correct complete.	brookwood Securities Part (Name of Corporation, Partners, Marketter)	ership or other organization)	
Date	the 25 day of February, 20 10.	O CFO	•	
This	form and the assessment payment is due 60 days afte period of not less than 6 years, the latest 2 years in a	r the end of the fiscal year. Retain	n the Working Copy of this form	
JEWER	Dates: Postmarked Received Review	red		
=	Calculations Docum	entation	Forward Copy	
ర్ల	Exceptions:			
SIPC	Disposition of exceptions:			

DETERMINATION OF "SIPC NET OPERATING REVENUES" AND GENERAL ASSESSMENT

	Amounts for the fiscal period beginning April 1, 2009 and ending been bee 3/200° Eliminate cents
Item No. 2a. Total revenue (FOCUS Line 12/Part IIA Line 9, Code 4030)	\$ 471,638
Additions: (1) Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.	
(2) Net loss from principal transactions in securities in trading accounts.	
(3) Net loss from principal transactions in commodities in trading accounts.	
(4) Interest and dividend expense deducted in determining item 2a.	
(5) Net loss from management of or participation in the underwriting or distribution of securities.	
(6) Expenses other than advertising, printing, registration lees and legal lees deducted in determining net profit from management of or participation in underwriting or distribution of securities.	
(7) Net loss from securities in investment accounts.	
Total additions	
2c. Deductions: (1) Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annulties, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts, and from transactions in security futures products.	
(2) Revenues from commodity transactions.	
(3) Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.	
(4) Reimbursements for postage in connection with proxy solicitation.	
(5) Net gain from securities in investment accounts.	
(6) 100% of commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.	
(7) Direct expenses of printing advertising and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).	
(8) Other revenue not related either directly or indirectly to the securities business. (See Instruction C):	:
Private Placement Revenue - Brookwood Value Partners, LLC	469.500
	:
(9) (i) Total interest and dividend expense (FOCUS Line 22/PART IIA Line 13, Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income.	
(ii) 40% of Interest earned on customers securities accounts (40% of FOCUS line 5, Code 3960).	
Enter the greater of line (i) or (ii)	•
Total deductions	469,500
2d. SIPC Net Operating Revenues	\$ 2138
2e. General Assessment @ .0025	s <u> </u>
2	(to page 1 but not less than \$150 minimum)

