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DIVISION OF MARKET REGULATION



**FORM X-17A-5** PART III

OMB Number:

3235-0123 Expires: February 28, 2010 Estimated average burden

hours per response..... 12.00

SEC FILE NUMBER

**FACING PAGE** 

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING_	AND ENDING   2 3   2009					
	MM/DD/YY	MM/DD/YY				
A. RE	GISTRANT IDENTIFIC	CATION				
NAME OF BROKER-DEALER: Riecl	OFFICIAL USE ONLY FIRM I.D. NO.					
	(No. and Street)					
(City)	(State)		(Zip Code)			
NAME AND TELEPHONE NUMBER OF P	ERSON TO CONTACT IN E	REGARD TO THIS RI				
	yangan dalah d		(Area Code – Telephone Number			
B. ACC	COUNTANT IDENTIFI	CATION				
INDEPENDENT PUBLIC ACCOUNTANT	Martin					
	(Name - if individual, state last, f	îrst, middle name)				
(Address)	(City)	(State)	(Zip Code)			
CHECK ONE:						
☐ Certified Public Accountant						
☐ Public Accountant						
☐ Accountant not resident in Uni	ited States or any of its posse	essions.				
	FOR OFFICIAL USE O	NLY				
		The state of the s				

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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## **WEAVER & MARTIN**

Mail Processing Section

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Washington, DC 105

## Board of Directors Riedl First Securities Company of Kansas Wichita, Kansas

## Independent Accountants' Report on Applying Agreed-Upon Procedures Related to an Entity's SIPC Assessment Reconciliation

In accordance with Rule 17a-5(e)(4) under the Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the accompanying Schedule of Assessment and Payments to the Securities Investor Protection Corporation (SIPC) for the Year Ended December 31, 2009, which were agreed to by Riedl First Securities Company of Kansas and the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc., and SIPC solely to assist you and the other specified parties in evaluating the Company's compliance with the applicable instructions of the Transitional Assessment Reconciliation (Form SIPC-7T). The Company's management is responsible for compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

- 1. Compared the listed assessment payments in Form SIPC-7T with respective cash disbursement records entries noting no differences.
- 2. Compared the amounts reported on the audited Form X-17A-5 for the year ended December 31, 2009, as applicable, with the amounts reported in Form SIPC-7T for the year ended December 31, 2009 noting no differences.
- 3. Compared any adjustments reported in Form SIPC-7T with supporting schedules and working papers noting no differences.
- 4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7T and in the related schedules and working papers supporting the adjustments noting no differences.
- 5. Compared the amount of any overpayment applied to the current assessment with the Form SIPC-7T on which it was originally computed noting no differences.

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

Weaver & Martin, LLC Kansas City, Missouri March 6, 2010 Weare & Miti, LLC

Certified Public Accountants & Consultants

411 Valentine, Suite 300 Kansas City, Missouri 64111 Phone: (816) 756-5525

Fax: (816) 756-2252

## Riedl First Securities Company of Kansas Schedule of Assessment and Payments to the SIPC For the Year Ended December 31, 2009

Total Reve	nue					
	April to June 2009	483	•			
	July to December 2009	896.	,903		electric de la constante de la	
					1,3	80,335.00
Direct Expe	enses					
	April to June 2009	25,	,690			
	July to December 2009	68	,112			
		emegradors del consession del servicio del con les con describerar a consession del servicio del consession del servicio del consession del servicio del consession del con	galland and an annual services and a service and a service and a	93,802.00		
Interest Ex	pense					
	April to June 2009	6	,842			
	July to December 2009	15	,057			
		Administration of the first are a constitute for the first and a graph of the graph of the algorithm of the constitution of th		21,899.00	Site of the same o	Skrokentru room varanga kanana ka
Total Dedu	ections				1	15,701.00
SIPC Net Operating Revenues					1,264,634.00	
General As	ssessment @ .0025					3,161.59
Total due f	or the year ended Decemb	er 31, 2009		:	\$	3,161.59
1/2/09 Pav	ment to SIPC					150.00
	yment to SIPC					977.00
	yment to SIPC					2,035.00
Total paid	for the year ended Decemi	per 31, 2009			\$	3,162.00
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