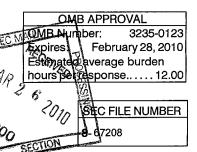


UNITEDSTATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL AUDITED REPOR FORM X-17A-5/A PART III



FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

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*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

> Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

OATH OR AFFIRMATION

I, Bruce M. Rose	, swear (or affirm) that, to the best of
my knowledge and belief the accomparington Investment Services, LLC	panying financial statement and supporting schedules pertaining to the firm of , as
of December 31	, 20 09, are true and correct. I further swear (or affirm) that
neither the company nor any partner classified solely as that of a custome	r, proprietor, principal officer or director has any proprietary interest in any account
	Signature
_	President
Notary Public	Title KATHARINE MILLER NOTARY PUBLIC MY COMMISSION EXPIRES 6/30/2010
☐ (f) Statement of Changes in Lia ☐ (g) Computation of Net Capital. ☐ (h) Computation for Determinat ☐ (i) Information Relating to the ☐ (j) A Reconciliation, including a	lition. ancial Condition. ckholders' Equity or Partners' or Sole Proprietors' Capital. bilities Subordinated to Claims of Creditors.
 □ (k) A Reconciliation between the consolidation. □ (l) An Oath or Affirmation. □ (m) A copy of the SIPC Supplem 	e audited and unaudited Statements of Financial Condition with respect to methods of

^{**}For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



March 19, 2010

U.S. Securities and Exchange Commission Office of Filings and Information Services Branch of Registrations and Examinations Mail Stop 8031 100 F Street, NE Washington, DC 20549

Dear Sirs/Madams:

In a letter from FINRA dated March 15, 2010 we were notified that our December 31, 2009 annual filing of audited financial statements was considered deficient given that the notary signature on Form X-17A-5 Part III was not an original signature.

In order that Carrington Investment Services, LLC may comply with the filing requirement, I have enclosed the following item:

• Two copies of an Oath or Affirmation – signed and notarized, including original signature and notarization.

In the event that this addition submission does not satisfy the filing requirements, please contact me immediately.

Sincerely,

Peter Salce

Enclosures: Form X-17A-5 Part III