

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ANNUAL AUDITED REPORT FORM X-17A-5 PART III

OMB APPROVAL

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FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNII	NG <u>07/01/09</u> MM/DD/YY	AND ENDING	06/30/10 MM/DD/YY	
A. 1	REGISTRANT IDENTIFICAT	TION		
AME OF BROKER-DEALER: YORK STOCKBROKERS INC. OFFICIAL USE		OFFICIAL USE ONLY		
ADDRESS OF PRINCIPAL PLACE OF	BUSINESS: (Do not use P.O. Box N	Io.)	FIRM I.D. NO.	
610 FIFTH AVENUE, 6TH	FLOOR			
	(No. and Street)			
NEW YORK	NY	1	10020	
(City)	(State)	(Zip	(Zip Code)	
NAME AND TELEPHONE NUMBER O JONATHAN TAYLOR	F PERSON TO CONTACT IN REG.	ARD TO THIS REPO 21	RT 2-453-2541	
		(A	rea Code – Telephone Number)	
B. A	CCOUNTANT IDENTIFICATION	ΓΙΟΝ		
INDEPENDENT PUBLIC ACCOUNTANT	NT whose opinion is contained in this	s Report*		
SPIELMAN KOENIGSBERG &	PARKER, LLP			
	(Name – if individual, state last, first, n	niddle name)		
888 Seventh Avenue, 35	th Floor New York	NA	. 10	
(Address)	(City)	(State)	(Zip Code)	
CHECK ONE:				
☑ Certified Public Accountar	ıt			
☐ Public Accountant				
☐ Accountant not resident in	United States or any of its possession	ns.		
	FOR OFFICIAL USE ONLY	7		

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

Ι, _	KA	I TAM		, swear (or affirm) that, to the best o	f	
my		edge and belief the accompanying financial s	statement and	nd supporting schedules pertaining to the firm of	as	
of	J	UNE 30	, 20 10	, are true and correct. I further swear (or affirm) tha	ιt	
nei	ther th	ne company nor any partner, proprietor, princ		or director has any proprietary interest in any account		
		solely as that of a customer, except as follow	=			
						
				1:1-		
	NOTARY	JENNIFER CORONA PUBLIC-STATE OF NEW YORK	7	Signature		
		No. 01CO6171237		DIRECTOR		
	Qu-	alified in Kings County mission Expires July 23, 2011		Title		
	···· / ••··	Company 23, 2011				
\geq)	- Clow				
	0	Notary Public				
Thi	s repo	t ** contains (check all applicable boxes):				
X		acing Page.				
		tatement of Financial Condition.				
		tatement of Income (Loss). tatement of Changes in Financial Condition.				
			or Partners'	or Sole Proprietors' Capital.		
		omputation of Net Capital.				
		omputation for Determination of Reserve Re				
 □ (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3. □ (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the 						
	Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3. (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of					
		onsolidation.			-	
	` '	n Oath or Affirmation.				
		copy of the SIPC Supplemental Report.	1.		••	
	(0)	Independent Auditor's Report on :	Internal (dit.	
**F	or con	ditions of confidential treatment of certain p	ortions of the	his filing, see section 240.17a-5(e)(3).		

YORK STOCKBROKERS, INC.

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Independent Auditors' Report on Supplementary Information Required by Rule 17a-5 of the Securities and Exchange Commission

To the Board of Directors York Stockbrokers, Inc.:

We have audited the financial statements of York Stockbrokers, Inc. as of June 30, 2010, and have issued our report thereon dated August 16, 2010. Our audit was conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The information contained in the accompanying schedule is presented for purposes of additional analysis and is not a required part of the basic financial statements, but is supplementary information required by Rule 17a-5 under the Securities Exchange Act of 1934. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

Spelmen Kenystry + Parker LLP New York, New York August 16, 2010

YORK STOCKBROKERS, INC.

SCHEDULE OF COMPUTATION OF "SIPC" ANNUAL GENERAL ASSESSMENT FOR BROKERS AND DEALERS PURSUANT TO RULE 17A-5(e)(4)

For the Fiscal Period Beginning July 1, 2009 and Ending June 30, 2010

Total revenue	\$ 641,497
Less: allowable deductions: Interest income and reimbursed expenses	134,055
Total deductions	134,055
SIPC net operating revenues	507,442
General Assessment @ .0025	1,269
Less: payment made with SIPC-6 on 01/20/10	(666)
Balance due	<u>\$ 603</u>

There were no material differences between the computation of the SIPC annual general assessment in the above schedule and the amount reported in the Company's SIPC-7 filing.

YORK STOCKBROKERS, INC. SIPC SUPPLEMENTAL REPORT

June 30, 2010

(With Independent Auditors' Report)