

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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ANNUAL AUDITED FORM X-17A PART /III

FACING PAGE Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEG	GINNING 01/01/09 ANI	D ENDING <u>12/31/09</u>		
	MM/DD/YY		MM/DD/ÝY	
	A. REGISTRANT IDENTIFICAT	ION		
NAME OF BROKER-DEALER: Triton Pacific Securities, LLC			OFFICIAL USE ONLY	
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)			FIRM I.D. NO.	
10877 Wilshire Boulevard, 12th Flo	oor			
	(No. and Street)			
Los Angeles	CA	90024	90024	
(City)	(State)	(Zip C	(Zip Code)	
NAME AND TELEPHONE NUME Craig Faggen	BER OF PERSON TO CONTACT IN REGAR		T D-943-4990	
		Are)	a Code – Telephone Number	
	B. ACCOUNTANT IDENTIFICAT	ION		
	NTANT whose opinion is contained in this R	eport*		
Elizabeth Tractenberg, CPA	(Name – if individual, state last, first, midd	le name)	And the second of the second o	
3832 Shannon Road	Los Angeles	CA	90027	
(Address)	(City)	(State)	(Zip Code)	
CHECK ONE:				
Certified Public Acco	untant			
Public Accountant				
Accountant not resid	ent in United States or any of its possessions.			
	FOR OFFICIAL USE ONLY			

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

^{*}Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

OATH OR AFFIRMATION

I, Craig Faggen	, swear (or affirm) that, to the best of
my knowledge and belief the accompanying financial states. Triton Pacific Securities, LLC	
	009, are true and correct. I further swear (or affirm) that
neither the company nor any partner, proprietor, principal classified solely as that of a customer, except as follows:	officer or director has any proprietary interest in any account
None	
	· · · · · · · · · · · · · · · · · · ·
	- Cang Pagge
	Signature
,	President
Elizabeth tang. elizabeth tang.	Title
eligibles SEE BELOW.	
Notary Public	
This report ** contains (check all applicable boxes):	
(a) Facing Page.	
⋈ (b) Statement of Financial Condition.⋈ (c) Statement of Income (Loss).	
(d) Statement of Changes in Financial Condition.	
(e) Statement of Changes in Stockholders' Equity or P	'artners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to	o Claims of Creditors.
(g) Computation of Net Capital.(h) Computation for Determination of Reserve Require	ements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control	Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation Computation for Determination of the Reserve Req	of the Computation of Net Capital Under Rule 15c3-1 and the
(k) A Reconciliation between the audited and unaudited	d Statements of Financial Condition.
(k) A Reconciliation between the faction and animalia	• • • • • • • • • • • • • • • • • • • •
(1) An Oath or Affirmation.	
(m) A copy of the SIPC Supplemental Report.	to exist or found to have existed since the date of the previous audit.
- , , ,	
**For conditions of confidential treatment of certain portion	ons of this filing, see section 240.17a-5(e)(3).
State of California	
County of VOS Angeles	
Subscribed and sworn to (or affi	irmed) before me on this 12th day of May, 20 10 by
, proved to	ormed) before me on this 127^{h} day of May , $20/0$ by the me on the basis of satisfactory evidence to be the person(s) who
appeared before me.	
Signature of Notary	(Notary Seal)
v , v	ELIZABETH IN KANG
	COMM. 1836782 S American LegalNet, Inc. www.Forms.Workflow.com
	NOTARY PUBLIC CALIFORNIA 9
	My Comm. Express Feb. 15, 2013

Triton Pacific Securities, LLC Schedule II – Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3 As of December 31, 2009

A computation of reserve requirement is not applicable to Triton Pacific Securities, LLC as the Company qualifies for exemption under Rule 15c3-3 (k) (2) (i).

Triton Pacific Securities, LLC Schedule III – Information Relating to Possession or Control Requirements Under to Rule 15c3-3 As of December 31, 2009

Information relating to possession or control requirements is not applicable to Triton Pacific Securities, LLC as the Company qualifies for exemption under Rule 15c3-3 (k) (2) (i).