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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/2009 AND ENDING 12/31/2009
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: **Univest Securities, Inc**

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

330 West 38th Street, Suite 238

(No. and Street)

New York

New York

10018

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Ying Cui, CEO

(212) 343-8888

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Joseph Amundsen, Certified Public Accountant

(Name - if individual, state last, first, middle name)

110 Wall Street

New York

New York

10005

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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OATH OR AFFIRMATION

I, Ying Cui, CEO, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Univest Securities Inc of December 31, 2010, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

[Handwritten Signature]
Signature

CEO
Title

[Handwritten Signature: Janna Carlene Wince]
Notary Public

Janna Carlene Wince
Notary Public - State of New York
No. 02WI6211662
Qualified in Nassau County
Commission Expires: Sept. 21, 2013

This report ** contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-3 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

Univest Securities, Inc

Statement of Changes in Stockholder Equity

For the Year Ended December 31, 2009

	<u>Common Stock</u>		<u>Additional Paid-in Capital</u>	<u>Retained Earnings</u>	<u>Stockholder Equity</u>
	<u>Shares</u>	<u>Amount</u>			
Balance, January 1, 2009	200	\$232,500	\$ 809,308	\$ (72,347)	\$969,461
Net income				149,682	149,682
Balance, December 31, 2009	200	\$232,500	<u>\$ 809,308</u>	<u>\$ 77,335</u>	<u>\$1,119,143</u>
Reconciliation:					
Original filing			578,949	307,694	
This filing			<u>809,308</u>	<u>77,335</u>	
Difference			<u>230,359</u>	<u>(230,359)</u>	

Univest Securities is a Sub-Chapter S corporation and this reconciliation is to reflect that there is no net change in Stockholder Equity, for purposes of Univest records, additional paid in capital is a separate component of the tax basis AAA account from retained earnings.

See accompanying notes to financial statements.

Univest Securities, Inc.

SIPC Transitional Assessment Reconciliation

For the Year Ended December 31, 2009

Revenues 4-1 to 12-31-2009	\$ 472,547
LESS:	
Commissions paid to other SIPC members	<u>141,686</u>
SIPC net operating revenues	<u>330,861</u>
General assessment (.0025)	827
Paid with SIPC-6 and SIPC-4	<u>(433)</u>
Balance due	<u>394</u>
Balance paid with SIPC-7T	<u>\$ 398</u>

There is no material difference between the SIPC-7T and this reconciliation.