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10030105	UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549		OMB APPROVAL OMB Number: 3235-0123 Expires: February 28, 2010 Estimated average burden heuro per response12.00 S.E.C.	
	ANNUAL AUDITED REI FORM X-17A-5 PART III FACING PAGE	APR	1 2010 SEC FILE NUMBER 8-46816	
Information Requi Securities J	ired of Brokers and Dealers Pu Exchange Act of 1934 and Rule	17a-5 Thereun	ider	
REPORT FOR THE PERIOD BEGIN	NING01/01/09 MM/DD/YY	AND ENDING	12/31/09 / MM/DD/YY	
A	. REGISTRANT IDENTIFICA	TION		
NAME OF BROKER-DEALER: Standard New York Securities, Inc. ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)			OFFICIAL USE ONLY FIRM I.D. NO.	
320 Park Avenu	ie, 19th Floor	·		
New York	(No. and Street) New York		10022 (Zip Code)	
(City) NAME AND TELEPHONE NUMBER Albert Maarter	(State) R OF PERSON TO CONTACT IN REG	ARD TO THIS RI		
В	. ACCOUNTANT IDENTIFICA	TION		
INDEPENDENT PUBLIC ACCOUN	CANT whose opinion is contained in th	is Report*		
Rayfield & Lic	Cata, PC (Name - if individual, state last, first,	middle name)	·	
354 Eisenhower P	arkway Livingston	NJ	07039	
(Address)	(City)	(State)	(Zip Code)	
CHECK ONE: Certified Public Account Public Accountant Accountant not resident	ntant in United States or any of its possessio	ons.		
	FOR OFFICIAL USE ONL	·		

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*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent p must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

STANDARD NEW YORK SECURITIES, INC. (a wholly owned subsidiary of Standard New York, Inc.)

AGREED-UPON PROCEDURES REPORT

DECEMBER 31, 2009

Rayfield & Licata

Certified Public Accountants

Joseph A. Licata Sr.* Joseph A. Speranza* Robert R. Ross* Joseph A. Licata* Christopher D. Licata* Edward J. Linder*

* CPA - NJ, NY

Board of Directors and Stockholder Standard New York Securities, Inc.

Independent Accountants' Report on Applying Agreed-Upon Procedures Related to an Entity's Transitional Assessment Reconciliation (Form SIPC-7T)

In accordance with Rule 17a-5(e)(4) under the Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the accompanying Transitional Assessment Reconciliation (Form SIPC-7T) to the Securities Investor Protection Corporation (SIPC) for the year ended December 31, 2009, which were agreed to by Standard New York Securities, Inc., the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc. and SIPC, solely to assist you and the other specified parties in evaluating Standard New York Securities, Inc.'s compliance with the applicable instructions of the Transitional Assessment Reconciliation (Form SIPC-7T). Standard New York Securities, Inc.'s management is responsible for Standard New York Securities, Inc.'s compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

1. Compared the listed assessment payments in Form SIPC-7T to the cash disbursement records entries, noting no differences;

2. Compared and reconciled the amounts reported on the audited Form X-17A-5 for the year ended December 31, 2009, as applicable, with the amounts reported in Form SIPC-7T, noting no differences;

3. Compared adjustments reported in Form SIPC-7T with applicable supporting schedules and working papers, noting no differences;

4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7T and in the related applicable schedules and working papers supporting the adjustments, noting no differences.

354 Eisenhower Parkway • Livingston, New Jersey 07039 • (973) 740-0200 • Fax (973) 740-1084

New York, New York • (212) 682-2552 / Jersey City, New Jersey • (201) 938-1610

Website www.rayfield-licata.com

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

Rayfield & dicata

March 24, 2010 New York, New York

SPC-77 (B0-REY 12009) SECURTIES INVESTOR PROTECTION CORPORATION 202-371-3800, Washington, D.2, 20005-2215 202-371-3800 SPC-77. (B2-REY 12009) TENSITIONEL Assessment Reconciliation Image: Comparison of the second		• .	• •	
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AMENDED AMENDED AMENDED TO BE FILED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS I. Name of Member, defress, Designated Examining Authority, 1934 Act registration no. and month in which liseal year ends for purposes of the audit requiroment of SEC Rule 174-5: D4GB16 FINRA DEC Note: It any of the information shown on the mailing lebel requires every colon, please event and any corrections of the audit any corrections. 320 PARK AVE FI 19 New YORK, NY 10022-6815 Name and tolephone number of person to contact respecting this form. CARRIE MADARA, #212-407-5026 CARRIE MADARA, #212-407-5026 2. A. General Assessment [Item 2e from page 2 (not loss than \$150 minimun)] \$53,973 B. Less payment made with 5PC-6 filed including \$150 paid with 2009 SIPC-4 (exclude interest) (43,516 1/15/2009 -\$150; 9/1/2009 -\$138; 3/2/10 -\$43,228 10,457 Date Paid 10,457 10,629 F. Isses seniori balance due or (overpayment) 10,629 10,629 F. Isses seniori on alle payment (see Instruction E) (or 30 days at 20% per annum \$10,629 10,629 F. Additarise data or and interest due (or overpayment carified forward) \$10,629 \$10,629 Subsidiaries (§) and predeccessore (P) Included in this form (give name and 1934 Act registration numb	Transilional Acc	sessment Reconciliation	(29-REV 12/09)	
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320 PARK AVE FL 19 Name and telephone number of person to contact respecting this form.		requires correction, please e-mail	any corrections to	
NEW TORK, NY 10022-0013 respecting this form.	320 PARK AVE FL 19	•	erson to contact	•
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Calculations Documentation Forward Copy	Taios:			
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	Calculations Dodul	//ojiiu/ivii		
Exceptions;	Exceptions;			

DETERMINATION OF "SIPC NET OPERATING REVENUES" AND GENERAL ASSESSMENT

AND GEN	IERAL ASSESSMENT	Amounts for the fiscal period beginning Apjil 1, 2009 and ending <u>12/31</u> , 20 <u>09</u> Eliminate cents
Item No. 2a, Total rovenue (FOCUS Line 12/Part IIA Line 9, Code 4030)		\$ 21,589,094
2b. Additions; (1) Total revenues from the securities business of subsidiar predocessors not included above.	tes (except foreign subsidiaries) and	
(2) Not loss from principal transactions in securities in trad	ing accounts.	
(3) Not loss from principal transactions in commodities in th	ading accounts.	
(4) Interest and dividend expense deducted in determining	itom 2a.	
(5) Not loss from management of or participation in the und	erwrlling or distribution of securities.	
(6) Expenses other than advertising, printing, registration f prolit from management of or participation in underwrit	ees and lange less deducted in determining net	
(7) Net loss from securities in investment accounts.		
Total additions		
 Deductions: (1) Rovonuos from the distribution of shares of a registered investment trust, from the sale of variable annuities, fradvisory services rendered to registered investment coaccounts, and from transactions in security futures pro- 	mpanies of insurance company separato	
. (2) Revenues from commodily transactions.		مېرى قارارىلىك ئېيىنى ئىلىكى ئىرى ئىلىكى ئىلىكى ئېرىكى يېرىي يېرىي تېرىكى تىكى يېرى يېرى تېرىكى تېرىكى ئېرىكى ئ ئىلىكى ئېرىكى ئىلىكى ئىلىكى ئىلىكى ئىلىكى ئىلىكى ئىلىكى ئېرىكى ئېرىكى ئېرىكى ئېرىكى ئېرىكى ئېرىكى ئېرىكى ئېرىكى
(3) Commissions, floor brokerage and clearance paid to oil securities transactions.	her SIPC members in connection with	
(4) Reimbursements for postage in connection with proxy s	olicitation.	
(5) Net gain from securities in investment accounts.		
 (6) 100% of commissions and markups earned from transations (ii) Treasury bills, bankers acceptences or commercial from issuance date. 	ations in (i) certilicates of deposit and paper that mature nine months or less	
(7) Direct expenses of printing advertising and legal less i related to the securities business (revenue dolinod by	ncurred in connection with other revenue Section $16(9)\{L\}$ of the Aci}.	
(8) Other revenue not related either directly or indirectly in (See Instruction C):	o the securities business.	
(9) (1) Total interest and dividend expense (FOCUS Line 2 Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income.	2/PART IIA Line 1\$, \$	
(ii) 40% of interest earned on customers securities acc (40% of FOCUS line 5, Code 3960).	sounts \$	
Enter the greater of line (i) or (ii)		
Total caducilons		21,589,094
2d. SIPC Net Operating Revenues		\$53,973
2e, General Assessment @ .0025		\$
	2	\$150 minimum)

See Independent Accountants' Report on Agreed-Upon Procedures