UNITED STATES CONTROL OF THE PROPERTY OF THE PROPERTY OF THE PROPERTY OF THE PROPERTY UNITED STATES UNITED STATES

UGT 202008

FORM D

NOTICE OF SALE OF SECURITIES

PURSUANT TO REGULATION D,

SECTION 4(6), AND/OR

UNIFORM LIMITED OFFERING EXEMPTION

OMB APPROVAL							
3235-0076							
Expires: September 30, 2008							
Estimated average burden							
hours per response 4.00							

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SEC USE ONLY							
Prefix		Serial					
DATE RECEIVED							

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Name of Offering (check if this is an amendment and name has changed, and indicate change.)	
Series A Preferred Stock and the Common Stock issuable upon conversion of Series A Preferred	Stock. PDOCESSED
File Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6)	
Type of Filing: New Filing Amendment	OCT 3 0 2008 St
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer	THOMSON REUTERS
Name of Issuer (check if this is an amendment and name has changed, and indicate change.) Aristata, Inc.	
Address of Executive Offices (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
1000 2 nd Avenue, Suite 3110, Seattle, WA, 98104	206-674-8709
Address of Principal Business Operations (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
(if different from Executive Offices)	206-674-8709
same	
Brief Description of Business	
Developing and distributing software for financial services industry.	T RESULTABLES FERNI DONN ERVIS UNIO 1889 (1991 INC) (1991
Type of Business Organization Corporation Ilimited partnership, already formed business trust Ilimited partnership, to be formed	per (ple 08062211
Actual or Estimated Date of Incorporation or Organization: Month Year 0 3 0 8	Actual Estimated
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:	
CN for Canada; FN fo	or other foreign jurisdiction) W A
GENERAL INSTRUCTIONS Note: This is a special Temporary Form D (17CFR 239.500T) that is (17CFR 239.500) only to issuers that file with the Commission a notice on Temporary Form D (17CFI notice in paper format on or after September 15, 2008 but before March 16, 2009. During that period, initial notice using Form D (17CFR 239.500) but, if it does, the issuer must file amendments using For company with all the requirements of § 230.503T. Federal: Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulet seq. or 15 U.S.C. 77d(6). When To File: A notice must be filed no later than 15 days after the first sale of securities in the of	R 239.500T) or an amendment to such a an issuer also may file in paper format an rm D (17 CFR 239.500) and otherwise lation D or Section 4(6), 17 CFR 230.501
U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at	

that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address. *Where To File:* U.S. Securities and Exchange Commission, 100 F Street, N.E., Washington D.C. 20549.

Copies Required: Two (2) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee. State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

		A. BASIC IDENTI	FICATION DATA		
	e issuer, if the issu	owing: er has been organized withi wer to vote or dispose, or		sition of, 10% or	more of a class of equit
securities of the issu		,, or to rote or employed, or		,	•
 Each executive office 	er and director of	corporate issuers and of cor	porate general and manag	ing partners of pa	rtnership issuers; and
 Each general and m 	anaging partner of	partnership issuers.			
Check Box(es) that Apply:	⊠ Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if Keith Vernon	individual)				
Business or Residence Addres 1000 2 nd Avenue, S					
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if Kristoffer Ryden	individual)				
Business or Residence Addres 1000 2 nd Avenue, S					100
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if Matthew Talbot	individual)		-		
Business or Residence Addres 5810 7th Avenue N					
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if Ryden Group, LL					_
Business or Residence Addre 1326 232 nd Place N					
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if	individual)		· · · · · ·		
Business or Residence Addre	ss (Number and St	reet, City, State, Zip Code)			
	(Hea blook	sheet, or copy and use add	itional copies of this shee	ot as necessary)	

]	B. INFOR	MATION	ABOUT O	FFERING					
											-	Yes	No
1. H	1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?									Ш	\boxtimes		
o 11	(7) -4 !- Al!							_			¢		
2. V	Vhat is the mi	ınımum inv	estment tr	iat will be	accepted if	om any ind	ividuai?				<u>J</u>	Vac	No
3. D	oes the offer	ing permit	joint owne	ership of a	single unit	?						Yes ⊠	No □
4. E	4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any												
e a st	ommission or person to be tates, list the roker or deale	similar res listed is a name of the	muneration n associate he broker	n for solic ed person or dealer.	itation of p or agent of If more th	urchasers in a broker of nan five (5)	n connection r dealer reg persons to	n with sales istered with be listed ar	of securities the SEC an	s in the offer d/or with a	ring. If		
Full N	ame (Last na None	me first, if	individual)									
Busine	ess or Reside	nce Addres	s (Number	r and Stree	t, City, Sta	te, Zip Cod	e)						
Name	of Associated	Broker or	Dealer					-			••===		
States	in Which Per	rson Listed	Has Solic	ited or Int	ends to Sol	icit Purchas	sers		-				
	eck "All State											☐ Al	I States
[AL] [AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	(ID]
[IL]		[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[M1]	[MN]	[MS]	[M(וַכ
[MT	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[P A	\]
[RI] [SC]	[SD]	[TN]	[XX]	[UT]	[VT]	[VA]	[WA]	[WV]	[W1]	[WY]	[P F	l]
Full N	ame (Last na None	me first, if	individual	1)									
Busin	ess or Reside	nce Addres	s (Numbe	r and Stree	et, City, Sta	te, Zip Cod	e)						
Name	of Associated	d Broker o	Dealer										
States	in Which Per	rson Listed	Has Solic	ited or Int	ends to Sol	icit Purchas	sers				-		
(Ch	eck "All State	es" or chec	k individu	al States).	•••••					**************		□ A	II States
[AL] [AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID	·]
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[RI] [SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[P F	<u> </u>
Full N	lame (Last na	me first, if	individual	l)									
	None												
Busin	ess or Reside	nce Addres	ss (Numbe	r and Stree	et, City, Sta	ite, Zip Cod	le)						
Name	of Associated	d Broker or	Dealer										
States	in Which Pe	rson Listed	Has Solid	ited or Int	ends to Sol	icit Purcha	sers						
	eck "All Stat										••••••	□ A	II States
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[MT		[NV]	[NH]	[N1]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA	١]
ſŖĬ	1 [SC]	(SD1	ITNI	(TX)	luti	(VT)	[VA]	[WA]	[WV]	(WI)	[WY]	[PF	1 1

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF	PRO	OCEEDS			-
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \square and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.					
	Type of Security		gregate ering Price		Am	ount Already Sold
	Debt	\$	0		\$	0
	Equity	\$	514,999.98	3	\$	455,901.00
	☐ Common ☐ Preferred					•
	Convertible Securities (including warrants)	\$_	0	_	\$	0
	Partnership Interests	\$	0	_	\$	0
	Other (Specify)	\$	0		\$	0
	Total	\$	514,999.9	8	\$	455,901.00
	Answer also in Appendix, Column 3, if filing under ULOE.					
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."					
		-	Number ivestors		Doll	ggregate ar Amount Purchases
	Accredited Investors		7		\$	455,901.00
	Non-accredited Investors		0		<u> </u>	0
	Total (for filings under Rule 504 only)		N/A	;	s —	N/A
	Answer also in Appendix, Column 4, if filing under ULOE.	_				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.					
	Type of offering		ype of ecurity		Dol	lar Amount Sold
	Rule 505		N/A	:	s	N/A
	Regulation A		N/A		s	N/A
	Rule 504		N/A		\$	N/A
	Total		N/A		\$ <u></u>	N/A
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.					
	Transfer Agent's Fees				\$	
	Printing and Engraving Costs				<u>s</u>	
	Legal Fees			\boxtimes	\$10,	000.00
	Accounting Fees				\$	
	Engineering Fees				<u>s</u>	
	Sales Commissions (specify finder's fees separately)				<u>s</u>	
	Other Expenses (identify)				<u> </u>	
	Total				\$10	.000.00

C. OFFERING PRICE, N	UMBER OF INVESTORS, EXPENSES A	ND USE C	F PROCEEDS	3	
b. Enter the difference between the aggrega Question 1 and total expenses furnished in res "adjusted gross proceeds to the issuer."	s _	504,999.98			
5. Indicate below the amount of the adjusted gused for each of the purposes shown. If the estimate and check the box to the left of the the adjusted gross proceeds to the issuer set for	e amount for any purpose is not known, fur estimate. The total of the payments listed must	nisn an st equal			
			Payments to Officers, Directors, & Affiliates	F	Payments To Others
Salaries and fees		□ \$	0	□ \$_	0
Purchase of real estate			0		0
Purchase, rental or leasing and installation	on of machinery and equipment	□ \$	0	□ \$	0
Construction or leasing of plant building	s and facilities	□ s	0	□ \$ _	0
Acquisition of other business (including offering that may be used in exchange for issuer pursuant to a merger)	□ \$_	0			
Repayment of indebtedness	□ \$_	0			
Working capital	⊠ \$_	504,999.98			
Other (specify):					
<u></u>					
		□ \$ <u></u>	 	□ \$_	
		□ \$	0	⊠ \$_	504,999.98
Total Payments Listed (column totals ad	lded)	\boxtimes	504,999.98	3	
	D. FEDERAL SIGNATURE				
The issuer has duly caused this notice to be sig following signature constitutes an undertaking by its staff, the information furnished by the issuer to	the issuer to furnish to the U.S. Securities an	d Exchang	e Commission, i	d under ipon wr	Rule 505, the itten request of
Issuer (Print or Type)	Signature	Da	nte		
Aristata, Inc.	20		10-17-0	£	
Name of Signer (Print or Type)	Title of Signer (Print or Type)	•		-	
Keith Vernon	President				

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

