FORM D



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

142706	1-142-11	068
	OMB AF	PROVAL
IMISSION	OMB Number:	3235-0076
	Expires:	April 30, 2008
	Estimated average b	urden
	bours not response	16.00

BEST AVAILABLE COPY

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

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Name of Offering (.check if this is an	amendment and name has char	ged, and indicate	change.)		SEC
Class A Preferred Units					Mall Processing
Filing Under (Check box(es) that apply Type of Filing: New filing): Rule 504 Ru Amendment	le 505 🛛	Rule 506	Section 4(6) Section
					FFR 12 2008
		DENTIFICATI	ON DATA		1 PD 1 V roop
1. Enter the information requested about	it the issuer.				
Name of Issuer (check if this is an Aurrion, LLC	amendment and name has chan	ged, and indicate	change.)		Washington, DC
Address of Executive Offices 3914 Via Lucero, Unit G, Santa Barb		er and Street, City	, State, Zip Co	ode) Telepho: (510) 36	ne Number (Including Area Code) 8-2866
Address of Principal Business Operatio (if different from Executive Offices)	ns (Numb	er and Street, City	, State, Zip Co	de) Telephor	ne Number (Including Area Code)
Brief Description of Business Optoelectronic Devices and Circuits					
Type of Business Organization corporation	limited partnership, a	bready formed	· 🛭	other (please s Company	pecify): Limited Lizbility
business trust	limited partnership, to	be formed			
		Month	Year	-	PPOOTOGED
Actual or Estimated Date of Incorporation	on or Organization:	0 1	0	8 X Actual	BEST PROCESSED
Jurisdiction of Incorporation or Organization	·				FEB 14 2008
	CN for Canada; FN for of	her foreign jurisdi	ction) C		b
	···		<u>_</u>		THOMSON
GENERAL INSTRUCTIONS					FINANCIAL

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

SEC 1972 (6-02)

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. ☐ General and/or ■ Beneficial Owner Check Box(es) that Apply: Promoter Executive Officer □ Director Managing Partner Full Name (Last name first, if individual) Fang, Alexander Business or Residence Address (Number and Street, City, State, Zip Code) 3914 Via Lucero, Unit G, Santa Barbara, CA 93110 ☐ General and/or ■ Beneficial Owner Executive Officer □ Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Bowers, John Business or Residence Address (Number and Street, City, State, Zip Code) 3914 Via Lucero, Unit G, Santa Barbara, CA 93110 ☐ Beneficial Owner ☐ Executive Officer □ Director General and/or Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) ☐ General and/or Check Box(es) that Apply: Promoter ☐ Beneficial Owner ☐ Executive Officer □ Director Managing Partner Pull Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) ☐ General and/or Check Box(es) that Apply: Promoter ☐ Beneficial Owner ☐ Executive Officer □ Director Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) General and/or ☐ Beneficial Owner ☐ Executive Officer ☐ Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) General and/or ☐ Executive Officer Check Box(es) that Apply: Promoter ☐ Beneficial Owner □ Director Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) (Use blank sheet or copy and use additional copies of this sheet, as necessary.)

					B. INFO	RMATI	<u>ON A</u> BO	<u>UT</u> OFF	ERING					
1.	Has the issue	r sold, or	does the is	ssuer intend									Yes 🗆	No ⊠
				Ans	wer also in	Appendix	. Column 2	2, if filing	under UL	OE.				
2.	2. What is the minimum investment that will be accepted from any individual?								\$ N/A Yes	No				
3.	Does the off	ering pern	nit joint ov	nership of	a single uni	i?	•••••		•••••					⊠
; ; 	Enter the incommission a person to batates, list the proker or de-	or similar se listed is e name o ale <u>r,</u> you n	remunerates an associ f the broke nay set for	ion for soli ated person er or dealer th the infor	citation of p or agent of . If more t	purchasers f a broker han five (:	in connect or dealer r 5) persons	ion with a egistered to be list	sales of se with the S	curities in SEC and/o	the offeri r with a s	ng. If tate or		
Full	Name (Last)	name first.	, if individ	ual)										
None	ess or Resid	lence Add	ress (Num	her and Stre	et City St	ate. Zin Co	vde)							
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Nam	of Associa	ted Broke	r or Dealer						-				- ,	
	s in which P													
	k "All State												All States	
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[MT] [RI]	[NE]	[NV] [SD]	(NH) [TN]	(NJ) (TX)	[NM] [UT]	[VT]	(NC) [VA]	[ND] [WA]	(OH) (WV)	(OK) [WI]	[OR] [WY]	[PA] [PR]		
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[MT (RI)	(NE) (SC)	(NV) [SD]	[NH] [TN]	(NJ) [TX]	(MM) (UT)	(NY) [VT]	[NC] [VA]	(ND) [WA]	(OH) [WV]	{OK} [WI]	[OR] [WY]	[PA] [PR]		~
	Name (Last	name first	, if individ	ual)		·							· · · · · · · · · · · · · · · · · · ·	
										4				
Busi	ness or Resi	lence Add	iress (Num	ber and Str	ect, City, St	ate, Zip Co	ode)							
					<u> </u>									
Nam	e of Associa	ted Broke	r or Dealer	•										
State	s in which P	erson List	ted Has So	licited or In	tends to So	licit Purch:	isers			 				
•	ck "All Stat											•	All States	
[AL]	[AK] [IN]	(AZ) (fA)	[AR] [KS]	[CA] [KY]	[CO] [LA]	(CT) (ME)	[DE] [MD]	[DC] [MA]	[FL] [MI]	[GA] [MN]	(HI) [MS]	(MO)		
[MT [R1]	[NE]	[NV] [SD]	[NH] [TN]	[UJ] [TX]	[MM] {UTJ	[NY] [VT]	[NC] [VA]	[ND] [WA]	[OH] [WV]	[OK] [WI]	[OR] [WY]	[PA] [PR]		

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$	\$
	Equity	\$10,000.00	\$10,000.00
	☐ Common ☑ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify)	\$	\$
	Total	\$10,000.00	\$10,000.00
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Aggregate Number Investors	Dollar Amount of Purchases
	Accredited Investors	2	\$
	Non-accredited Investors	0	\$ -0-
	Total (for filings under Rule 504 only)		•
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the user, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.	Type of	Dollar Amount
	Type of offering	Security	Sold
	Rule 505		\$
	Regulation A		s
	Rule 504		\$
	Total		s
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		· · · · · · · · · · · · · · · · · · ·
	Transfer Agent's Fees		\$ <u>N/A</u>
	Printing and Engraving Costs		\$ <u>N/A</u>
	Legal Fees	⊠	\$to be determined
	Accounting Fees		5N/A
	Engineering Fees		\$ N/A
	Sales Commissions (specify finders' fees separately)		S N/A
	Other Expenses (Identify)		\$ N/A
	Total	Ø	\$ to be determined

	b. Enter the difference between the aggregate of and total expenses furnished in response to Part C proceeds to the issuer."		gross		\$	10,000,00
5.	Indicate below the amount of the adjusted gross p for each of the purposes shown. If the amount for and check the box to the left of the estimate. 'adjusted gross proceeds to the issuer set forth in re	or any purpose is not known, furnish an estimat The total of the payments listed must equal th	e æ P C	ayments to officers pirectors, & offiliates		Payments to Others
	Salaries and fees	C] \$	-0-		\$0
	Purchase of real estate] s	-0-		\$0
	Purchase, rental or leasing and installation of mach	inery and equipment] \$	-0-		\$0-
	Construction or leasing of plant buildings and facil	ities] \$	0-		\$ <u>-0-</u>
	Acquisition of other businesses (including the valu offering that may be used in exchange for the asset issuer pursuant to a merger)	s or securities of another	s	-0-		\$
	Repayment of indebtedness] \$	-0-		\$
	Working capital	·····	. 5	-0-	Ø	\$ <u>10,000.00</u>
	Other (specify):] \$	-0-		\$ <u>-0-</u>
	Column Totals	·····] s	-0-	Ø	\$10,000.00
	Total Payments Listed (column totals added)		\$		Ø	\$10,000.00
		D. FEDERAL SIGNATURE				
		D. PEDERAL SIGNATORE				
sig	e issuer has duly caused this notice to be signed by the nature constitutes an undertaking by the issuer to fur ormation furnished by the issuer to any non-accredite	nish to the U.S. Securities and Exchange Commi-	ssion,	upon written	Reques	
Iss	uer (Print or Type)	Signature		Date	- / /	, c
Αu	rrion, LLC	(CZ / X		1/	5//	O.J.
	me of Signer (Print or Type)	Title of Signer (Print or Type)				
Αī	exander Fang	Manager				

END

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)